

## South Dakota State Board of Engineers, Land Surveyors and building professionals Rules, laws, and Ethics.

## 4 PDH

Professional Development Hours (PDH) or Continuing Education Hours (CE) Online PDH or CE course STATEOF SOUTH DAKOTA



## **CODIFIEDLAWS Chapter36-18A**

## Current as of 07/01/20

## ADMINISTRATIVERULESArticle20:38

## Currentas of 12/21/20

Covering selected provisions of law dealing withProfessionalEngineers,Architects,LandSurveyors,LandscapeArchitect s

and Petroleum Release Services

## SOUTHDAKOTABOARDOF TECHNICAL PROFESSIONS

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## <u>36-18A-1.Definition of terms</u>. Terms used in this chapter mean:

- (1) "Alteration," for the purpose of determining exemptions, any remodeling, renovation, or reconstructiontoabuildingwhichchangestheuse,occupancyclassification,oroccupantload, or the exiting, structural, mechanical, or electrical systems of a building as defined by the building code;
- (2) "Architect," any person licensed in good standing and legally authorized to practice architecture in this state;
- (3) "Architectural intern," any person who has successfully completed an accredited education program in architecture acceptable to the board and is enrolled in the intern development program administered by the National Council of Architectural Registration Boards;
- (4) "Board,"the Board of Technical Professions;
- (5) "Building,"any structure used or intended to support or shelter any occupancy;
- (6) "Building or floor area," the sum of the areas of all of the floors of a building, including basements, mezzanine, and intermediate tiers, and penthouses of headroom height, measured fromtheexteriorfacesofexteriorwallsorfromthecenterlineofthewallseparatingbuildings. The building area does not include such features as pipe trenches, exterior terraces or steps, chimneys, vent shafts, courts, and roof overhangs. The floor area of enlargements shall be addedtotheexistingbuildingarea.Afireorareaseparationwallisnotanexteriorwallforthe purposes of this definition;
- (7) "Building official," the officer or other designated authority charged with the administration and enforcement of the adopted code;
- (8) "Business entity," any corporation, partnership, limited liability corporation, limited liability partnership,orsoleproprietorshipthatpracticesorofferstopracticeengineering,architecture, land surveying, landscape architecture, petroleum release assessment, or petroleum release remediation services to the public through its licensed personnel who are either employees, officers, directors, partners, members, managers, or owners and that have been issued a certificate of authorization by the board;
- (9) "Construction administration," the interpretation of drawings and specifications, the establishment of standards of acceptable workmanship, and the site observation of construction, by a licensed professional, for the purpose of determining whether the work is in general accordance with the construction contract documents. Shop drawing review, coordination of a construction project among the owner, architect, engineer, contractor, and subcontractors, and inspectionof construction by contractors, subcontractors, owner's agents, building officials, or other unlicensed professionals does not constitute construction administration;
- (10) "Corrective action," an action taken to minimize, contain, eliminate, remediate, mitigate, or clean up a petroleum release, excluding removal of a petroleum tank of less than one thousand one hundred gallons;

- (11) "Design-build," a delivery approach in which a project team of design professionals and builders perform design and construction services under contract with a client;
- (12) "Engineer," a person who is qualified to practice engineering by reason of special knowledgeanduseofthemathematical, physical, and engineering sciences and the principles and methods of engineering analysis and design, acquired by engineering education and engineering experience;
- (13) "Engineering intern" or "engineer-in-training" or "EI" or "EIT", a person enrolled by the board as an engineering intern and who has successfully passed the fundamentals of engineering examination;
- (14) "Enlargement," for the purpose of determining exemptions, is any addition to a building which changes the use, occupancy classification, or occupant load, or the exiting, structural, mechanical, or electrical systems of abuilding as defined by the building code adopted by the board;
- (15) "Landsurveyor,"apersonlicensedingoodstandingandlegallyauthorizedtopracticeland surveying in this state;
- (16) "Land surveying intern" or "land surveyor-in-training" or "LSI" or "LSIT", a person enrolledbytheboardasalandsurveyinginternwhohassuccessfullypassedthefundamentals of land surveying examination;
- (17) "Landscapearchitect,"apersonlicensedingoodstandingandlegallyauthorizedtopractice landscape architecture in this state;
- (18) "Landscape architectural intern," a person who has successfully completed an accredited education program in landscape architecture adopted by the board;
- (19) "License," acertificate indicating authority to practice and use titles within a profession;
- (20) "Licensee," aperson orbusinessentity whose license is in good standing;
- (21) "Petroleum," gasoline, alcohol-blended fuels, diesel fuels, aviation gasoline, jet fuel, fuel oil, kerosene, burner oil, naphtha, lubricating oils, motor oil, automatic transmission fluid, wasteoil, oralcoholsthathavebeendenaturedwithgasolineandstoredtobeusedasblended fuel-grade ethanol;
- (22) "Petroleumreleaseassessor,"apersonlicensedin goodstandingandlegallyauthorizedto practice petroleum release assessment in this state;
- (23) "Petroleumreleaseremediator,"apersonlicensedingoodstandingandlegallyauthorized to practice petroleum release remediation in this state;
- (24) "Professional engineer," a person licensed in good standing and legally authorized to practice engineering in this state;
- (25) "Release," the spilling, leaking, emitting, discharging, escaping, leaching, or disposing of a reportable quantity of petroleum;

- (26) "Remedial investigation," an action to identify the corrective action to betaken to protect the public health, safety, and environment and to contain a release of petroleum into the environment;
- (27) "Responsible charge," the immediate and responsible direction by a licensed professional who has exercised personal direction, guidance, and control over the design, preparation of documents, construction administration, and other professional services and has exercised professional judgment in all matters relating to those services;
- (28) "Retiredlicensee,"apersonwhoisretiredandisnolongerlicensedtopracticethatperson's professionmayusetheappropriatehonorifictitleorcombinationoftitlesofArchitect,Retired; Professional Engineer, Retired; Land Surveyor, Retired; or Landscape Architect, Retired;
- (29) "Siteassessment,"anactiontoidentifytheexistence,source,nature,andextentofarelease andtheextentofanydangertopublichealth,safety,andwelfareofthepublicorenvironment;
- (30) "Siteobservation,"thevisualobservationofaconstructionprojectforgeneralcompliance with submitted plans and specifications at significant stages and at project completion.

**36-18A-1.1.Inactive licensee defined.** For the purposes of this chapter, the term, inactive licensee, means a licensee who ceases to practice or offer to practice in the licensee's profession and who does not wish to renew but would like his or her files to be kept active for possible reinstatement. Anactivelicensee maybegranted inactive status. Noinactivelicensee may practice or offer to practice

professional services in South Dakota unless otherwise exempted in this chapter. Any inactive licensee is exempt from the continuing education requirements.

**36-18A-2."Practiceofarchitecture"defined**.Forthepurposesofthischapter,theterm,practice ofarchitecture, meansthepracticeorofferingtopracticeanyserviceinconnectionwiththedesign, evaluation, construction, enlargement, or alteration of a building or group of buildings and the space within and surrounding such buildings, which have as their principal purpose human occupancy or habitation. Such service includes consultation; evaluation; planning; providing preliminarystudies;designs;overallinteriorandexteriorbuildingdesign;preparationofdrawings, specifications,andrelateddocumentsandothertechnicalsubmissions;constructionadministration services which include the review or observation of construction for the purpose of determining whether the work is in general accordance with the design, drawings, specifications, codes, and other technical submissions; and other consultants as they relate to architectural work in connection withthedesignandconstructionofanyprivateorpublicbuilding,buildingproject,orintegralpart orpartsofbuildings,oranyadditionoralterationthereto.Thetermalsoincludesrepresentationof clients in connection with the construction administration services entered into between clients and contractor and others.

36-18A-3."Practice of engineering,""design coordination" and"engineeringstudies"defined.For thepurposes of thischapter, the term, practice of engineering,means thepracticeor offering to practice of any service or creative work, the adequateperformance of which requires engineering education, training, and experience in the applicationofspecialknowledgeofthemathematical, physical, and engineering sciences to such services

orworkincludesconsultation;investigation;evaluation;planning;design;anddesigncoordination of engineeringworks andsystems; planning the use ofland andwater; land-use studies; teaching of advanced engineering design subjects; performing engineering studies; and the review or observationofconstructionforthepurposetodeterminewhethertheworkisingeneralaccordance with drawings, specifications, and other technical submissions. Any such service or work, either public or private, may be in connection with any utilities, structures, buildings, machines, equipment, processes, worksystems, projects, and industrial orconsumerproducts, or equipment of a mechanical, electrical, hydraulic, pneumatic, or thermal nature, insofar as they involve safeguarding life, health, or property, and including such other professional services as are necessary to the planning, progress, and completion of any engineering services.

For the purposes of this section, the term, design coordination, includes the review and coordination of those technical submissions prepared by others, including consulting engineers, architects, landscape architects, land surveyors, and other professionals working under the directionoftheengineer. The term, engineering studies, includes all activities required to support the sound conception, planning, design, construction, maintenance, and operation of engineered projects, but excludes the surveying of real property for the establishment of land boundaries, rights-of-way, easement exhibits relating to land boundaries, and the dependent or independent surveys or resurveys of the public land survey system.

Apersonisconstruedtopracticeoroffertopracticeengineeringifthepersonpracticesanybranch of the profession of engineering, if the person, by verbal claim, sign, advertisement, letterhead, card,orinanyotherwayrepresentshimselforherselftobeaprofessionalengineer,oriftheperson through the use of some other title implies that the person is a professional engineer or that the person is licensed under these provisions, or if the person holds himself or herself out as able to perform or does perform any engineering service or work or any other service designated by the practitioner which is recognized as engineering.

<u>36-18A-4. "Practice of land surveying" defined</u>. For the purposes of this chapter, the term, practiceoflandsurveying, meansthepracticeorofferingtopracticeprofessionalservicessuchas consultation, investigation, testimony evaluation, land-use studies, planning, mapping, assembling, interpretingreliablescientificmeasurements and information relative to the location, size, shape, or physical features of the earth, improvements on the earth, the space above the earth, or any part of the earth, and utilization and development of these facts and interpretation into an orderly survey map, plan, report, description, or project.

The practice of landsurveying includes any of the following:

- (1) Locates, relocates, establishes, reestablishes, laysout, or retraces any property line or boundary of any tract of land or any road, right-of-way, easement, alignment, or elevation of any of the fixed works embraced within the practice of land surveying;
- (2) Makesany survey for the subdivision of any tractof land;
- (3) Determines, bytheuse of principles of lands urveying, the position for any survey monument or reference point; or sets, resets, or replaces any such monument or reference point;
- (4) Determines the configuration or contour of the earth's surface or the position of fixed objects on the earth's surface by measuring lines and angles and applying the principles of mathematics;

- (5) Geodetic surveying which includes surveying for determination of the size and shape of the earthutilizing angular and linear measurements through spatially oriented spherical geometry;
- (6) Creates, prepares, or modifies electronic or computerized data, including land formation systems and geographic information systems, relative to the performance of the activities in subdivisions (1) to (5), inclusive, of this section.

<u>36-18A-5."Practiceoflandscapearchitecture"defined</u>--Forthepurposesofthischapter,the term, practice of landscape architecture, means the practice or offering to practice landscape architecture projects, including preparing preliminary studies, providing land-use studies, developingdesignconcepts, planningfortherelationshipsofphysicalimprovements and intended uses of the site, establishing form and aesthetic elements, analyzing and providing for life safety requirements, developingthoseconstruction details on the site which are exclusive of any building or structure and do not require the seal of an engineer or architect, preparing and coordinating technical submissions, and conducting site observation of landscape architecture projects.

Landscape architecture, for the purposes of landscape preservation, development, and enhancement, includes: investigation, selection, and allocation of land and water resources for appropriate use; feasibility studies; formulation of graphic and written criteria to govern the planning and design of land construction programs; preparation, review, and analysis of master plans for land use and development; production of overall site plans, landscape grading and landscapedrainageplans, irrigationplans, planting plans, and construction details; specifications; cost estimates and reports for land development; collaboration in design of roads, bridges, and structures with respect to the functional and aesthetic requirements of the area son which they are to be placed; negotiation and arrangement for execution of land area projects; field observation and inspection of land area construction, restoration, and maintenance.

36-18A-6."Practiceofpetroleumreleaseassessment"defined.Forthepurposesofthischapter, the term, practice of petroleum release assessment, meansthepractice of directing or supervising the field crew performing activities related to assessments and environmental monitoring; developing assessment plans; directing the placement of soil borings and determining where to collect samples for analytical data; determining the location of representative soil samples for contaminant analysis; identifying and classifying soil types and soil conditions; preparing soil logsor supervisingpreparation of logs;testingandreportingonthephysicalandchemical boring properties of soils; identifying and reporting on geological conditions; developing and implementing groundwater evaluation activities; directing the placement of monitoring or observationwells; evaluating aquifer characteristics; formulating input data for ground water flow models;operatinggroundwatermodelsandinterpretingresults;directingtheperformanceofpump tests or dye tests and other aquifer tests; interpreting the results of aquifer testing; determining capture zones for groundwater removal systems; evaluating and reporting on physical and chemical groundwater data; or offering to provide any services pursuant to this section.

<u>36-18A-7. "Practice of petroleum release remediation" defined</u>. For the purposes of this chapter, the term, practice of petroleum release remediation, means the practice of interpreting assessmentresults;formulatinginputdataforcontaminantmodels;operatingcontaminantmodels and interpretingresults;identifying the potential fate of contaminants and environmental transport mechanisms; identifying the

environmental risks and health hazards of contaminants and contaminated media; directing or supervisingthedisposalofcontaminatedsoilandgroundwater; evaluating and recommending

remediation alternatives; preparing a cost estimate or cost-effective analysis for remedial alternatives; developing soil and groundwater remediation systems; preparing the plans and specifications for remedial systems; directing or supervising the installation, operation, and maintenance of remedial systems; overseeing and directing assessment and remedial activities; signingassessmentplans,assessmentreports,andremedialactionplans;orofferingtoprovideany of the services pursuant to this section. A petroleum release remediator may perform all the functions of a petroleum release assessor.

**36-18A-8.** License required to practice professions enumerated in chapter. Any person or business entity practicing or offering to practice architecture, engineering, land surveying, landscape architecture, or petroleum release assessment or remediation shall submit evidence of qualifications to the board and be licensed in accordance with the provisions of this chapter. No person or business entity may practice or offer to practice any of these professions, or to use in connectionwiththatperson'sorbusinessentity'snameorotherwiseassume, use, or advertiseany title or description that may falsely convey the impression that the person is duly licensed under the provisions of this chapter unless the person is so licensed.

## **<u>36-18A-9.Certainpersonsexemptfromprovisionsofchapter</u>**. This chapter does not apply to:

- (1) Any person engaged in military engineering while rendering service exclusively for any of the armed forces of the United States or this state;
- (2) Any person engaged in the practice of engineering, architecture, landscape architecture, orlandsurveyingintheemployoftheUnitedStatesgovernmentbutonly while exclusively engaged as a United States government employee on such government project or projects which lie within federally-owned land;
- (3) Any person engaged in the practice of engineering, architecture, landscape architecture, or land surveying in the employ of the state and any of its political subdivisions but only while rendering service exclusively to such employer. Any building project resulting from the practice of engineering, architecture, landscape architecture, or land surveying under this subdivision is subject to the size limitation imposed under the exemptions in subdivision (8) of this section;
- (4) Any employee who prepares technical submissions or administers construction contractsforapersonororganizationlawfullyengaged inthepracticeofengineering, architecture, landscape architecture, or land surveying, if the employee is under the directsupervisionofaregisteredprofessionalengineer, architect, landscape architect, or land surveyor;
- (5) Any full-time employee of a corporation, partnership, firm, business entity, orpublic utility while exclusively doing work for the corporation, partnership, firm, business entity, or public utility, iftheworkperformedis inconnection with the property, products, and service sutilized by the employer and not for any corporation, partnership, firm, or business entity practicing or offering to practice architectural, engineering, landscape architecture, or land surveying services to the public. The provisions of this subdivision do not apply to any building or structure if the primary use is occupancy by the public;
- (6) Anyperson engaged in the preparation of plans and specifications for the erection,

enlargement, or alteration of any of the following buildings:

(a) Anydwellingforasinglefamily, and any outbuilding inconnection therewith, such as abarn or private garage:

abarn orprivategarage;

- (b) Anytwo,three,orfourfamilydwelling;
- (c) Any five to sixteen family dwelling, inclusive, located in a governmental subdivision of this state which provides a detailed building code review of building projectsbyabuildinginspectiondepartmentwhichisagovernmentalmember of the International Code Council (ICC) or an ICC certified plans examiner;
- (d) Anyfarmorranchbuildingoraccessorytheretoexceptanybuildingregularly used forpublicpurposes;or
- (e) Any temporary building or shed used exclusively for construction purposes, not exceedingtwo storiesin height, and not used for living quarters;
- (7) Any person who prepares detailed or shop plans required to be furnished by a contractor to a registered professional engineer or architect, and any construction superintendent supervising the execution of work designed by an architect or professional engineer registered in accordance with this chapter;
- (8) Any person engaged in the preparation of plans and specifications for the new construction,

theenlargement orthealteration of any of the following buildings:

- (a) Any building occupied as a hospital, hotel, motel, restaurant, library, medical office, nursing facility, assisted living facility, jail, retirement home, or mortuary, if the gross square footage of the new construction, the enlargement, or the alteration is four thousand square feet or less;
- (b) Anybuildingoccupiedasanauditorium,church,school,ortheaterifthegross square footage of the new construction, the enlargement, or the alteration is five thousand square feet or less;
- (c) Any building occupied as a bowling alley, office, shopping center, bank, fire station,
  service station, or store if the gross square footage of the new construction, the enlargement, or the alteration is seven thousand square feet or less;
- (d) Any building occupied as an industrial plant or public garage if the gross square

footage of the new construction, the enlargement, or the alteration is eleven thousand square feet or less;

- (e) Any building occupied as a warehouse if the grosssquare footage of the new construction, the enlargement, or the alteration is twenty thousand squarefeet or less;
- (f) Any building with an occupancy other than those listed in subsections (a) to (e),
  inclusive, of this subdivision if the gross square footage of the new construction, theenlargement, orthealterationisfourthousandsquarefeetor less;

or

(g) Any pre-engineered or predesigned building, or any pre-engineered or predesigned

building with a predesigned system, designed for the intended use of that building, including building structure, electrical, plumbing, and mechanical systems, if the buildings and systems are supplied directly, orindirectly, by a company engaged in thebusiness of designing and supply such buildings and systems and if the company has in its employ one or more engineers or architectslicensedinSouthDakota,whopreparealldesignsforsuchbuildings and systems.

No person exempted may use the title of professional engineer, architect, landscape architect, or landsurveyor, or any other word, words, letters, or signs inconnection with the person's name that may falsely convey the impression that the person is a licensed professional engineer, architect, landscape architect, or land surveyor.

<u>36-18A-10.Building consisting of more than one type of occupancy</u>-- Limitations for each type. For the purposes of § 36-18A-9 (8) of this chapter, if a building consists of more than one typeofoccupancy, each portion of the building shall conform to the limitation sestablished by that subdivision for each type of occupancy. The area of the building shall be such that the sum of the ratios of the actual area for each separate occupancy divided by the total allowable area allowed by that subdivision for each separate occupancy does not exceed one.

## <u>36-18A-11.Design-buildservicesbycontractor</u>. This chapter does not prohibit a contractor from

offering to provide or from providing design-build services if the architectural and engineering services offered or provided in connection with the design-build services are rendered by an architect or professional engineer licensed in accordance with this chapter.

# **36-18A-12.** Certain services performed by authorized petroleum releasebusiness exempt. Thischapterdoesnotapplytoprovidersofservicessuchasdrillingormonitoringwellinstallation, analytical testing, monitoring, electrical, plumbing, excavation, or construction if the service providedispartofasiteassessment, remedial investigation, or corrective action to remediate water or soil contaminated from apetroleum release performed or executed by an authorized petroleum release business entity with a certificate of authorization.

<u>36-18A-13. Incidentalcross-practice by architects and engineers</u>. An architectmay engage in thepracticeofprofessionalengineering, or a professional engineer may engage in the practice of

architecture, but only to the extent that such practice is incidental or of minor importance to a project or service being legally performed under this chapter.

**36-18A-14.Board of Technical Professions created--Certificate of appointment--Oath ofoffice--Number and terms of members--Composition**. The Board of Technical Professions is created to administer the provisions of this chapter. Each member of the board shall receive a certificate of appointment from the Governor and shall file with the secretary of state a written oath for the faithful discharge of the member's official duties. The board shall consist of seven members to be appointed by the Governor for aterm of three years. No member may serve more thanthreeconsecutivefullterms.However,appointmenttofillanunexpiredtermisnotconsidered acompletetermforthispurpose.Theboardshallbecomposedoftwoprofessionalengineers,two architects, two land surveyors, and one member from the public. The Governor may stagger the terms to enable theboard to have different terms expire each year. Any member appointed to the board prior to July 1, 2005, shall serve the four-year term to which the member was originally appointed. Any member appointed to the board after July 1, 2005, shall serve a three-year term.

<u>36-18A-15. Board member qualifications</u>. Each member of the board shall be a citizen of the United States, a resident of this state, and in good standing with the board. Any member of the board whose individual license is revoked or suspended automatically ceases to be a member of theboard. The public member may not be of the same political party.

<u>36-18A-16. Immunity of board members -- Attorney general to represent board.</u> The members of the board, or any committee of theboard, and the executive director of theboard are immune from liability indamages, and no cause of action for damages may arise against them for any actor proceeding under taken or performed by them within their official capacity if they acted in good faith. These persons, while acting upon disciplinary matters and in carrying out civil remedies, are deemed to be acting as officers of the state. The attorney general shall represent and appear for them in any action or proceeding brought by or against them because of such acts.

<u>36-18A-17. Removal of boardmember</u> -- Fillingof vacancies. The Governor may remove any memberoftheboardformisconduct, incapacity, orneglectofduty. Anymemberappointed to fill avacancyarising from other than the natural expiration of a terms hall serve for only the unexpired portion of the term.

<u>36-18A-18. Board to elect officers -- Meetings -- Quorum -- Inclusion in blanket bond</u>. The board shall annually elect from its members achair, avice chair, and a secretary. The board shall hold at least six regular meetings in each year. Special meetings may be called and notice of all meetings shall be given in such manner as the public meetings laws may provide. At all meetings, amajority of the board constitutes a quorum. The board and its employees may be included in the state blanket bond purchased pursuant to § 3-5-5.1.

<u>36-18A-19. Accounting for moneys received --Disbursement of funds --</u> <u>Vouchersandwarrants</u>. The executive director of the board shall receive and account for all money derived under theprovisionsofthischapter. Allmoneyshallbeusedbytheboardtoadminister theduties set forth under this chapter. The board may determine the manner of disbursing the money and

purposes for which disbursements shall be made. Warrants for the payment of disbursements shall be issued by the state auditor and paid by the state treasure rup on presentation of itemized vouchers approved by the board. The total of the warrants may not exceed the total balance.

**36-18A-20.** Employment of counsel and assistance in enforcement. The board may employ counselandothernecessaryassistancetoaidintheenforcementofthischapterorfortheassistance of any proceeding commenced by the attorney general or by a state's attorney, the compensation and expenses of whom shall be paid from the technical professions fund.

<u>36-18A-21. Employment of executivedirector and staff -- Offices</u>. The board shall employan executivedirectorwhoshallworkunderthedirectionoftheboardandshallbedelegatedtheduties necessarytoconductboardbusiness.Theboardmayemploystaffandrentofficesasnecessaryfor theproperperformanceofitsdutiesasprescribedinthischapter.Thecompensationandexpenses shall be paid from the technical professions fund.

## <u>36-18A-22. Board to promulgate rules -- Scopeof rules -- Existing rules remain in effect.</u>

The board shall, pursuant to chapter 1-26, promulgate rules which may bereasonably necessary for theperformance of its duties, theregulation proceedings before it, and thelicensure of the professionsitregulates. The existing rules promulgated under the previous chapter 36-18 remain in effect until replaced. The board shall promulgate rules, pursuant to chapter 1-26, for the licensure of professional engineers, architects, land surveyors, landscape architects, and petroleum release assessors and remediators in the following areas:

- (1) Forms such as applications, renewals, licenses or certificates, and receipts for applicants, licensed professionals, and business entities;
- (2) Fees for applications, examinations, renewals, late penalties, lists and labels of licensees, returned checks, reinstatement, inactive status, ability to allow a vendor to collect fees for examinations, waiver of fees;
- (3) Criteriafortypesofeducationdegrees,approvalofaccreditedprograms,internprograms,type ofexperience,lengthofexperience,nationalandstatespecificexaminations,useofcomputer examinations, criteria from other countries, procedure to evaluate foreign degrees, eligibility of applicants, dual licenses;
- (4) Continuing professional education and development content, hours, carryovers, and requirements;
- (5) How, when, and where to seal plans and documents; type of seal; required services to be provided;andcriteriatodefinecompleteplans,minimumstandardsofpractice,andguidelines;
- (6) Description of and criteria for construction administration, including a designation of who is to perform construction administration and criteria for a prime professional or a coordinating professional;
- (7) Requirements for compliance with local building code;
- (8) Theadoption of acode of professional conduct;
- (9) Procedures for disciplinary proceedings; and
- (10) Procedures for contested cases pursuant to chapter 1-26.

**36-18A-23.** Board continued within Department of Labor -- <u>Retains functions of</u> <u>previouscommission</u>. The Board of Technical Professions shall continue within the Department of Labor and shall retain all the prescribed functions, including administrative functions, of the previous State Commission of Engineering, Architectural and Land Surveying Examiners.

**<u>36-18A-24.</u>** Record and report policy. Theboardshallmaintainthefollowingrecordandreport policy:

- (1) Arecord of its proceedings and all current applications of licensure shall be retained;
- (2) The record of the board shall be prima facie evidence of the proceedings of the board, and a transcript thereof, duly certified by the executive director of the board, shall be admissible as evidence with the same force and effect as if the original were produced; and
- (3) The following are of a confidential nature and are not public records: examination scores, examination material, examination problem solutions, letters of inquiry and references concerning applicants, board inquiry forms concerning applicants and licensees, and investigation files if any investigation is still pending.

<u>36-18A-25.Engineeringintern--Qualifications</u>. Anyapplicant for enrollment as an engineering intern shall provide evidence satisfactory to the board that the applicant has graduated from or is admitted in an accredited engineering curriculum and has passed an examination. The examination may be taken during the applicant's senior year of study or at such time as maybe determined by the board. The board shall promulgate rules, pursuant to chapter 1-26, to establish education, experience, and examination criteria.

<u>36-18A-26. Professional engineer licensure qualifications -- Board to establish criteria</u>. Any applicantforlicensureasaprofessionalengineershallprovidethefollowingevidencesatisfactory to the board:

- (1) Graduation from an accredited engineering college, university, ortechnical program;
- (2) Completion of the minimum number of years of diversified engineering experience under the supervision of a licensed professional engineer; and
- (3) Successful completion of examinations.

Theboardshallpromulgaterules, pursuant to chapter 1-26, to established ucation, experience, and examination criteria.

<u>36-18A-27. Architect licensure qualifications -- Certification by National Council</u> <u>ofArchitecturalRegistrationBoardsinlieuofqualifications--Boardtoestablishcriteria.</u>Any applicant for licensure as an architect shall provide the following evidence satisfactory to the board:

- (1) Graduation with an accredited professional degree in architecture;
- (2) Completionofthenationalarchitecturalinterndevelopmentprogram; and
- (3) Successful completion of examinations.

The board may qualify an applicant who holds a certification issued by the National Council of ArchitecturalRegistrationBoardsinlieuofthequalificationslistedinthissection.Theboardshall promulgate rules, pursuant to chapter 1-26, to establish education, experience, and examination criteria.

<u>36-18A-28.Landsurveying internqualifications</u>--Boardtoestablishcriteria. Anyapplicant for enrollment as a land surveying intern shall provide evidence satisfactory to the board that the applicant has graduated from an accredited surveying or engineering curriculum, or substantially similar experience acceptable to the board and has passed an examination. The examination may be taken during the applicant's senior year of study or at such time as may be determined by the board. The board shall promulgate rules pursuant to chapter 1-26 to establish education, experience, and examination criteria.

**<u>36-18A-29.</u>** Land surveyor qualifications</u>-- Board to establish criteria. Any applicant for licensure as a land surveyor shall provide the following evidence satisfactory to the board:

- (1) Graduation from a accredited engineering or landsurveying college, university, ortechnical program with a minimum of twenty credit hours of surveying curriculum, or substituted experience, in whole or part, acceptable to the board;
- (2) Completion of the minimum number of years of diversified surveying experience under the supervision of a licensed land surveyor; and
- (3) Successful completion of examinations.

Theboardshallpromulgaterulespursuanttochapter1-26toestablisheducation, experience, and examination criteria.

## <u>36-18A-30.</u> Landscape architect qualifications -- Certification by Council of LandscapeArchitecturalRegistrationBoardsinlieuofqualifications--</u>

## Boardtoestablishcriteria.Any

applicantforlicensureasalandscapearchitectshallprovidethefollowingevidencesatisfactoryto the board:

- (1) Graduation from an accredited program of landscape architecture;
- (2) Completion of a council record from the Council of Landscape Architectural Registration Boards; and
- (3) Successful completion of examinations.

The board may qualify an applicant who holds a certification issued by the Council of LandscapeArchitecturalRegistrationBoardsinlieuofthequalificationslisted inthissection. The board shall promulgate rules, pursuant to chapter 1-26, to establish education, experience, and examination criteria.

**36-18A-31.** Petroleum release assessor or remediator -- Qualifications -- Boardto establishcriteria. Any applicant for licensure as a petroleum release assessor or remediator shall provide the following evidence satisfactory to the board:

## (1) Completion of education and experience requirements; and

## (2) Successful completion of examinations.

Theboardshallpromulgaterulespursuanttochapter1-26toestablisheducation, experience, and examination criteria.

**36-18A-32.** Oral interview -- Failure to provide information as grounds for disciplinaryaction -- Interpretation of experience and education. The board may require the applicant to appear for an oral interview if there are questions as to the depth, extent, and quality of any experience. Failure to supply additional evidence or information withinthirty days from the date of a written request from the board, or failure to appear before the board if an appearance is requested, may be considered cause for disciplinary action or disapproval of an application. The board shall interpret qualifying experience and education according to the following:

- (1) Qualifying experience is diversified, general practice experience of a progressive degree of difficulty, magnitude, and responsibility under proper professional guidance and supervision of licensed persons;
- (2) Forpartiallycompletedworkinaccreditedorapproveddegreegrantingcurriculums,education credit of three-fourths of a year may be allowed for each thirty semester hours of study. For workinvocationalortradeschools, educationcreditofone-half of a gearmay be allowed for each thirty semester hours of study;
- (3) Education from foreign schools shall be evaluated with accredited programs in the United States, and experience inforeignemployment underlicensed persons shall be evaluated by the board;
- (4) Qualifying experience underproper professional guidance and supervision of licensed persons gained in work experience with governmental agencies, the military, construction, sales, and industry requiring the application of skills normally taught in the schools of engineering, science, architecture, or land surveying may be evaluated by the board. The board shall give credit for experience as warranted;
- (5) Teaching at the junior year level and above of engineering, science, architectural, landscape architectural, and land surveying courses in accredited or approved curriculums is acceptable experience for a maximum of one year.

**36-18A-33.Examination requirements--Fees.**The board shall admit to examination any candidatewhopaysafee,nottoexceedtwohundreddollars,establishedbytheboardandsubmits an application with evidence satisfactory to the board that the applicant satisfies the necessary education and experience requirements. The board may require an applicant for licensure or a current licensee to take an examination as it deems necessary to determine that person's professionalminimumcompetency.Uponapprovalofexaminationapplications,examinationfees may be paid by the applicant directly to a national vendor or third party. The board may hold membership in and be represented at national councils or organizations of professional practices licensed under this chapter and may pay the appropriate membership fees. The board may allow proctoring of examinations for approved candidates at out-of-state or overseas sites by administrators of national council member boards. The board may accept candidates to be proctored inthis state from othernational council memberboards.Atake-homequestionnaire

based on statutes and rules and related to professionalism and ethics may also be required. The board shall promulgaterules, pursuant tochapter 1-26, to establish application, examination, and proctor fees for all examinations. No fee may exceed one thousand dollars.

<u>36-18A-34.Notice of results--Reexamination--Fees</u>. The board shall notify each applicant of theresultsoftheexaminationandthoseentitledtolicensureorenrollment.Ifanapplicantfailsthe

examination and the applicant's application is still current, subsequent reexaminations may be granted upon payment of a fee. The board shall promulgate rules, pursuant to chapter 1-26, to establish reexamination fees, not to exceed two hundred dollars, for all licensure and enrollment applicants.

36-18A-35.Comity licensure. The board may give comity consideration to any person who holdsacurrentandvalidlicenseissuedtothatpersonforactivepracticebytheproperauthorityin any state or territoryof the UnitedStates, theDistrict of Columbia, or anyforeigncountry, based onrequirements that do not conflict with the provisions of thischapter andwereof astandardnot lowerthanthatspecified in the applicable licensure actine ffect in this state at the time such license was issued. The board may consider qualifying experience gained after initial licensure for the required years of experience. An applicant may be required to take examinations as the board deems necessary to determine the applicant's competency. A comity applicant for landscape architecture shall hold a current and valid certification from the Council of Landscape Architectural Registration Boards tobe eligible for comitylicensure. The board shall promulgate rules, pursuanttochapter1-26, tosettheapplicationfeeforlicensurebycomity. Thefeemaynot exceed two hundred dollars.

<u>36-18A-36. License issued to successful applicant</u>. The board shall grant a license to any applicant as a professional engineer, architect, land surveyor, landscape architect, petroleum releaseassessororremediator, or for any two or more of these titles who has met the requirements of this chapter.

## 36-18A-37.Displayoflicenseinplaceofbusiness--Replacementlicense. The licenses hall be

displayed in a conspicuous place in the licensee's principal office, place of business, or place of employment within the state. Aduplicatelicense to replace alost, destroyed, ormutilated license shall be issued upon payment of a fee established by the board pursuant to chapter 1-26.

<u>36-18A-38. Practice permitted recipient of license -- Use of titles -- License as evidence</u>. The recipient of a license issued under this chapter may practice engineering, architecture, land surveying,landscapearchitecture,petroleumreleaseassessment,orpetroleumreleaseremediation and use the appropriate title professional engineer, architect, land surveyor, landscape architect, petroleumreleaseassessor,orpetroleumreleaseremediator.Alicenseemayuseatitleeitherwith orwithoutprefixingtheword,licensedorregistered.Nolicenseemaypracticeaprofessionoruse atitleunlessthelicensespecificallypermitssuchpracticeandusage.Anunrevokedandunexpired licenseissued asprovidedin thischapter ispresumptiveevidencein all courtsand placesthat the person named is legally licensed.

## <u>36-18A-39.Expirationandbiennialrenewaloflicenses–Continuingprofessionaleducation.</u>A

license expires two years after the date of issuance and becomes invalid on that date unless renewed by that date. Any professional engineer, architect, land surveyor, landscape architect, or petroleum release assessor or remediator licensed under this chapter who desires to continue to practice or offer to practice the licensee's profession shall:

- (1) Pay the renewal fee, not to exceed two hundred dollars, established by the board in rules promulgated pursuant to chapter 1-26; and
- (2) Successfully complete all continuing professional development requirements established by the board or make a showing of good cause why the license ewas unable to comply with such requirements.

The board shall promulgate rules, pursuant to chapter 1-26, to establish continuing professional education and development criteria.

#### <u>36-18A-40. Failure to complete continuing professional development requirements</u> asgroundsfornonrenewal--Inactiveorretiredstatus. Theboardmaydeclinetorenewalicense

ifanapplicanthasnotcompletedcontinuingprofessionaldevelopmentrequirements. Alicensefor a two-year period shall be issued upon completion of the requirements. The board may provide, by rules promulgated pursuant to chapter 1-26, for the inactive or retired status of a person who hasbeen dulylicensed underthischapterand whochoosesto relinquishornot torenewalicense.

## <u>36-18A-41. Advance notice by board of expiration of license, professional developmentrequirements,</u>

**andfees**. Theboardshallnotifybymailanypersonlicensedunderthischapterof the date of expiration of the license, the requirement of professional development hours, and the amountofthefeerequiredforrenewal. Thenotices hall be mailed to the last known address of the licensee at least one month in advance of the date of expiration of the license. A licensee shall notify the board of any address changes.

# **36-18A-42. Reinstatement of expired license or request for inactive status -- Time limits --Requirementsforreinstatement**. Apersonorbusinessentitymayreinstateanexpiredlicenseor requestinactivestatuswithinthreeyearsafteralicense'sdateofexpirationifthepersonorbusiness entity is otherwise qualified. The fee for the reinstatement of the license or requesting inactive status after it has expired shall be increased by an amount to be determined by the board in rules promulgatedpursuanttochapter1-26. Apersonrequestingreinstatementofanexpiredorinactive license shall complete the requirements for continuing professional development and any reexaminationsandpayanypenaltyfees. If apersonorbusinessentity failstore instateanexpired licenseorrequestinactivestatuswithinthethreeyearsafterthedateofexpiration, all relevantfiles shall be destroyed. A person orbusiness entity requesting licensure after three years shall submit an application for a new license. A person or business entity shall retain their original license number.

<u>36-18A-43.Request for inactive or retired status--Fee</u>. Any licensed person mayrequest that theperson'slicensebeplacedoninactiveorretiredstatus. Afee, nottoexceedtwohundreddollars, to place files on inactive or retired status shall be determined by the board in rules promulgated pursuant to chapter 1-26. Failure to render any fees required for inactive or retired status shall result in the automatic termination of inactive or retired status. The request for files to be placed oninactiveorretiredstatusmaybedeniedbytheboard. Nopersonmay practice or offertopractice while that person's files are inactive or retired.

**36-18A-44.** Stamp or seal of licensees -- Contents -- Certain persons prohibited from <u>usingseal</u>. Any licensed professional engineer, architect, land surveyor, and landscape architect shall use an appropriate seal. The seal shall contain the following information:

- (1) Thename, SouthDakota;
- (2) Licensee'sname;
- (3) Licensenumber; and
- (4) Theappropriate title or combination of titles: Professional Engineer, Architect, Land Surveyor, Landscape Architect.

Thesealshallbelegibleandshallhaveanoutercirclewithadiametermeasuringatleastoneinch and no greater than two inches and an inner circle with a diameter measuring five-eighths of the diameter of the outer circle. Titles may be prefixed with the words, Licensed or Registered. The sealmaybeanembossedseal, arubberstamp, a computer-generated seal, or other facs imile found acceptable to the board. The licensee's signature and the date shall be adjacent to or across the seal. Petroleum release assessors and remediators, or interns, may not use a seal.

<u>36-18A-45.Sealsignatureanddateascertificationofworkdonebylicensee</u> --Documentsonwhich seal is required -- Preliminary work -- Multiple seals -- Electronically <u>transmitteddocuments</u>. The application of the licensee's seal and signature and the date constitutes

certificationthattheworkonwhichitwasappliedwasdonebythelicenseeorunderthelicensee's responsible charge. The seal, signature, and date shall be placed in such a manner that can be legibly reproduced on the following:

- (1) Alloriginals,copies,tracings,electronicsubmittals,orotherreproductionsofallfinal drawings, specifications, reports, plats, plans, land surveys, design information, and calculationspreparedbythelicenseeorunderthelicensee'sresponsiblechargewhen presentedtoaclientoranypublicorgovernmentalagency.Alicenseemaynotreview orchecktechnicalsubmissionsofanotherlicensedprofessionalorunlicensedperson and seal the documents as the licensee's own work;
- (2) PreliminaryworkshallcontainanotethatthesubmittalisNotforConstruction, Preliminary,orothersuchexplanationthatitisnotfinal;and
- (3) In the case of documents for projects which involve multiple licensees of more than one technicalprofession, thetitleorindexsheetshallbesealed,signed,anddatedbythe prime professional in responsible charge of coordinating the various technical professions involved in the project. In addition, each sheet shall be sealed, signed, and dated by
- the

licenseeor licenseeswhoprepared orwhoarein responsiblechargeofthat sheet.

<u>36-18A-45.1 Digital signatures.</u>Each drawing, report, or document that is signed and sealed using a digital signature shall have an electronic authentication process attached to or logically associated with the electronic document. The licensee's use of a digital signature is optional and, if used, shall be:

- (1) Uniquetothelicensee;
- (2) Capableofverification;

- (3) Underthesole controlofthelicensee using it;and
- (4) Linkedtoadocumentinsuchamannerthatthedigitalsignatureisinvalidatedifany data in the document is changed.

A digital signature that uses a process approved by the board is presumed to meet the criteria provided in this section. Anyhard copy printedfrom the transmitted electronicfile shall bear the facsimile of the signature and seal and shall be a confirmation that the electronic file was not alteredaftertheinitialdigitalsigningofthefile. Anyalterationstothefileshallcausethefacsimile of the signature to be voided.

## 36-18A-46. Constructionadministrationservices to be provided by architector engineer. No

personotherthananarchitectmayprovideconstructionadministrationservicesonanarchitecture portionofaprojectthatisnotexemptpursuantto§36-18A-2.Nopersonotherthanaprofessional engineer may provideconstruction administrationservices on an engineering portion of a project that is not exempt pursuant to §36-18A-3. The architect or professional engineer of record, or another designated architect or professional engineer without conflict of interest, shall provide a written report of observed deficiencies or variations from the submitted plans and specifications tothebuildingofficial,owner, andbuilder beforeprojectcompletion.Theboardmaypromulgate rules pursuant to chapter 1-26 to establish construction administration services criteria including coordinating and prime professional criteria for persons licensed by the board.

## 36-18A-46.1 Completion of work—Successor licensee—Nonprofessional services. If a

licensee in responsible charge of the work is unavailable to complete the work, a successor licensee may take responsible charge by performing all professional services to include developing a complete design file with work or design criteria, calculations, code research, and any necessary and appropriate changes to the work. The nonprofessional services, such as drafting,neednotberedonebythesuccessor licenseebutshallclearlyandaccuratelyreflectthe successor licensee's work. The burden is on the successor licensee to show compliance. The successor licensee shall sign, date, and seal alloriginal documents. Thesuccessor licensee shall havecontrolofandresponsibilityfortheworkproductandthesigned andsealedoriginalsofall documents.

<u>36-18A-47. Office to have appropriately licensed person in charge</u>. Any office physically located and maintained in this state to offer engineering, architectural, land surveying, landscape architectural, petroleum release assessment, or petroleum release remediation services shall have anappropriatelylicensedpersonwhoisregularlyemployedinthatofficeandwhohasresponsible charge and direct supervision and control of all professional services. No licensee who renders occasional, part-time, or consulting services to or for an office may not be designated as the appropriately licensed person in responsible charge for the professional activities of the office unlessascheduleispostedattheofficeforthepublic'sknowledgeandfiledwithandapprovedby the board stating when the licensee is physically in the office.

## **36-18A-48.Businessentitymustobtaincertificate of authorization** --Responsibilityforactsof individuals. Any business entity that desires to practice engineering, architecture, land surveying, landscape architecture, or petroleum release assessment or remediation in this state shall register with the board by making application for a certificate of authorization. A business entityisresponsiblefortheconductor actsof itsagents, employees, officers, partners, members,

or managers in respect to any engineering, architecture, land surveying, landscape architecture, petroleum release assessment, or petroleum release remediation services performed or to be executed in this state. No person is relieved of

the responsibility for that person's conduct or acts performed by reason of that person's employment by or relationship with a business entity. A licensee who renders occasional, parttime or consulting services to or for a business entity may not be designated as the person in responsible charge for the professional activities of the business entity.

## 36-18A-49. Application for certificate of authorization -- Contents. Abusinessentity or office

desiring a certificate of authorization or renewal shall file a written application with the board which shall contain the following:

- (1) Thenames,licensenumbersandprofessionofallemployeesorsoleproprietorsofthebusiness entity or office who are duly licensed to practice engineering, architecture, land surveying, landscapearchitecture,petroleumreleaseassessment,orpetroleumreleaseremediationinthis state and who areor will bein responsible chargeof any professional services in this state by the business entity or office;
- (2) AstatementfromthebusinessentitythattheBoardofficewillbenotifiedinwritingwithin30 days after the effective date of any change; and
- (3) Astatementbyalicensedpersonwhoworksforthebusinessentity orofficethatthebusiness entity or officewill notpermitthe performance of any professional service, as defined in this chapter, by any personof the business entity oroffice unless the person islicensed under this chapter; and
- (4) All other information the board may deem necessary as promulgated by rule pursuant to chapter 1-26.

**36-18A-50. Issuance of certificate of authorization** -- **Certificate non-transferable Exemptbusiness.** The board shall issue a certificate of authorization or a renewal to a business entity or officeuponreceiptof anapplication for acertificate of authorization and a feeasset by the board pursuant to chapter 1-26 unless the board finds an error in the application or that any facts exist which would entitle the board to suspend or revoke the certificate if issued to the applicant. The certificate of authorization isnottransferable. Acertificate of authorization isnotrequired for any exempt business.

**36-18A-51.** Business to report changes ininformationsuppliedon application -- <u>Timelimit</u>. The business entity shall file with the board a written report of any change in the information submitted on the application that occurs during the term of the certificate of authorization. The business entity shall report the change within thirty days after the effective date of the change. Failuretoprovidethereportconstitutesgroundsfor theboardtosuspendor revokethecertificate of authorization.

<u>36-18A-52. General provisions applicable to business entities</u>. The provisions with respect to issuance, expiration, renewal, and re-issuance of the certificate of licensure of persons contained inthischapterapplytocertificatesofauthorizationissuedtobusinessentitiesundertheprovisions of this chapter. A business entity is subject to disciplinary proceedings and penalties, and certificatesof authorizationaresubjecttosuspensionor revocationfor cause, inthesamemanner andtothesame extentasisprovided withrespect toindividualsandtheircertificatesoflicensure

inthischapter. The terms, licensee and certificate of licensure, as used in this chapter, apply to any business entity holding a certificate of authorization issued under this Act and to such certificate of authorization.

## <u>36-18A-53.Employeenotresponsibleforcorporateviolations--Exception</u>. Aviolationofany of the provision softhis chapter by a business entity is not grounds for the revocation, suspension, or refusal to renewalicense of an individual employee of the business entity unless the board finds that the employee was a party to the violation.

<u>36-18A-54. Board inquiries and investigation of violations -- Report and prosecution</u>. The board shall inquireintothe identity of any personal leged to been gaging in the unlawful practice of engineering, architecture, land surveying, land scape architecture, petroleum release assessment, or petroleum release remediation. The board shall investigate alleged violations of the provisions of this chapter, and report to the proper state's attorney or the attorney general any person or case that in the judgment of the board warrants prosecution. The attorney general or the several state's attorneys may prosecute violations of this chapter in the name or on behalf of the board.

**36-18A-55.** Board authorized to administer oaths, subpoena witnesses -- Court order tocompel compliance. When investigating alleged violations, the board may administer oaths to witnesses appearing before the board, subpoena licensees as witnesses and compel their attendance, and require the submittal of plans, specifications, books, records, papers, and other documents. If a licensee refuses to obey any subpoena, or refuses to testify or produce any materialsrequired, the board may taked is ciplinary action or present its petition to the court of the county in which the licensee resides, and the court may enter a suitable order compelling compliance with the provisions of this chapter and imposing such other terms and conditions as the court may also submotion. If an order the submittal of plans, specifications, books, records, papers, and other documents. If an on-licenseerefuses to operate, testify, or produce any materials requested, theboard may also submotion of plans, specifications, books, records, papers, and other documents. If an on-licenseerefuse the submittal of plans, specifications, books, records, papers, and other documents. If an on-licenseerefuse the submittal of plans, specifications, books, records, papers, and other documents. If an on-licenseerefuse the submittal of plans, specifications, books, records, papers, and other documents. If an on-licenseerefuse the provisions of the court may enter as used the court may enter as used the output of the court of the submit of plans, specifications, books, records, papers, and other documents are associated as the court of the court may deem suitable

<u>36-18A-56. Proof of injury not necessary for board action on certain violations</u>. The board may take action without proof of actual injury on the following violations:

- (1) Hasviolatedany statute, rule, or order that the board has issued or is empowered to enforce;
- (2) Hasengagedinconductoractsthatarefraudulent,deceptive, ordishonestwhetherornotthe conduct or acts relate to professional practice;
- (3) Hasengagedinconductoractsthataregrosslynegligent, incompetent, reckless, orotherwise in violation of established standards related to that person's professional practice;
- (4) Hasbeenconvictedoforhaspleadedguiltyornolocontenderetoafelony, whetherornotthe person admits guilt, or has been shown to have engaged in acts or practices tending to show thattheapplicantorlicenseeisincompetentorhasengagedinconductreflectingadverselyon the person's ability or fitness to engage in that person's professional practice. A copy of the record of conviction or plea of guilty or nolo contendere is conclusive evidence;

- (5) Has employed fraud or deception in obtaining a license or renewal of a license or in passing all or a portion of the examination;
- (6) Has had that person's professional license, registration, certificate, right to examination, or other similar rights to practice revoked, suspended, canceled, given probation, limited, censured, reprimanded, ornotrenewedforcause in anystate orterritoryofthe UnitedStates, the District of Columbia, or in any foreign country;
- (7) Failedtomeetanyrequirementfor issuanceor renewal of theperson'slicenseorcertificate;
- (8) Hasused orattempted to useasthatperson'sownthecertificateorseal of another;
- (9) Hasused orattempted touseanexpired, suspended, or revoked license;
- Hasplacedthatperson'ssealorsignaturetoaplan,specification,report,plat,orother
  technical
  submissionordocumentnotpreparedbythatpersonorunderthatperson'sresponsible charge;
- Aided or assisted another person in violating any provision of this chapter or the rulespertaining this chapter;
- (12) Failed to promptly and appropriately provide information requested by the board as a result of a formal or informal complaint to the board which would indicate a violation of this chapter;

to

- (13) Hasprovidedfalsetestimonyorinformation to the board;
- (14) Failedtoreportknownviolations of this chapter;
- (15) Hasengaged in theuseofuntruthful orimprobablestatementsin advertisements;
- (16) Failedtocompletecontinuing professionaldevelopmentrequirementssetbytheboard;
- (17) Mademisleadingoruntruthfulrepresentationsinadvertisementsor published materials;
- (18) Falselyused any title, figures, letters, or descriptions to implylicensure;
- (19) Ishabituallyintoxicated orisaddicted to the use of alcoholorille galdrugs;
- (20) Has committed an act, engaged in conduct, or committed practices that may result in an immediate threattothepublic;or
- (21) Hasprovidedprofessionalservicesintechnicalareasnotcoveredbythatperson'slicense or competency.

<u>36-18A-57. Disciplinary remedies available to board</u>. If the board determines that a person or business entity is in violation of this chapter, the board may take the following actions:

- (1) Deny anapplication;
- (2) Suspend, temporarilysuspend, revoke, or refuse to renew an enrollment or license;
- (3) Placeonprobation, condition, or limitalicensee's practice;
- (4) Reimbursetheboardforexpenses, fine, censure, orreprimandapersonorbusinessentity;
- (5) Refuse to permit a person to sit for examination or refuse to release a person's examinationscores;
- (6) Requirea personto sitforareexamination; and
- (7) Pursue legal actions against a person or business entity that is not licensed to offer or render practices covered by this chapter.

<u>36-18A-58.Additionalremedies</u>.Inlieuoforinadditiontoanydisciplinaryremedyprovided in § 36-18A-57 or civil remedy provided in § 36-18A-61, the board may require, as a condition of continued licensure, termination of suspension, reinstatement of license, examination, or release of examination grades, that the person:

- (1) Submittoaqualifyingreviewoftheperson'sability,skills,orqualityofwork.Thepersonmay be required to attend remedial education courses; and
- (2) Completetothesatisfactionoftheboardcontinuingprofessionaleducationcoursesasthe board may specify by rule or order, pursuant to chapter 1-26.

<u>36-18A-59.Proceedingsforrevocationorsuspensionoflicense</u>.Proceedingsfortherevocation or suspension of a license shall be conducted pursuant to chapter 1-26 and rules promulgated pursuant to this chapter.

**<u>36-18A-60.</u>** Findings and actions of board subject to appeal. The findings and actions of the board on disciplinary matters shall be subject to appeal as provided by chapter 1-26 and rules promulgated pursuant to this chapter.

<u>36-18A-61. Civil remedies available to board</u>. Any person who has violated any provision of thischapteroranyrule promulgated pursuant to this chapter, is subject to the following penalties:

- (1) Administrative fine
  - (a) Licensed person: Any person licensed by the board who violates any provision of this chapter is liable for an administrative fine not to exceed two thousand dollars for each offense;
  - (b) Licensedbusinessentity:Anybusinessentitypermittedtopracticebytheboardthatviolates anyprovisionofthischapterisliableforanadministrativefinenottoexceedfivethousand dollars for each offense.

Anadministrativefinenotpaidwithinsixtydaysfromthedateoftheorderimposingthefinemay beenforcedbyanactionintheappropriatecountycircuitcourt.Anypersonaggrievedbyanorder under this subdivision may make an appeal pursuant to chapter 1-26;

- (2) Injunction: If the board deems it necessary for thepublic safety, it maybring an action in the nameofthestateinthecircuitcourtinany countyin whichjurisdictionispropertoenjointhe act, practice,orviolationandtoenforcecompliancewiththischapteroranyrulepromulgated pursuanttothischapter.Uponshowingthatapersonhasengagedinanotherwiseunauthorized actorpractice,apermanentortemporaryinjunction, orrestrainingorder,orotherappropriate relief shall be obtained against the person toprohibit the continuation of the unauthorized act orpractice.Ifapersonhascaused astructure to be designed, constructed, orbuilt by engaging inanunauthorized actorpractice, apermanentor temporaryinjunction or restraining order, or otherappropriate relief, shall be obtained against the project prohibiting the use and occupancy of any structure. For purposes of injunctive relief under this subdivision, irreparable harm exists if the board shows that a person has engaged in an act or practice constituting the unauthorized useofatitle, words, figures, or signimplying licensure, or aviolation of astatute, rule, or order that the board has issued or is empowered to enforce;
- (3) Ceaseanddesistorder: Theboardmayissueandhaveserveduponapersonanorderrequiring the person to cease and desist from any unauthorized practice or act which is in violation of this chapter or anyrulepromulgated pursuant to this chapter. Thecease and desistorder shall give reasonable notice of the rights of the person to request the reasons for the entry of the order.

<u>36-18A-62. Service of cease and desist order</u>. Service of the order is effective if the order is servedonthepersonorcounselofrecordpersonallyorbycertifiedmailtothemostrecentaddress provided to the board for the person or counsel of record. Unless otherwise agreed by the board and theperson requesting thehearing, thehearingshall beheld no later thanninety daysafter the request for the hearing is received by the board.

<u>36-18A-63.Reportissuedafterhearing--Furtherorder</u>. Theboardoradministrativelawjudge shall issue a report within thirty days of the close of the contested case hearing record. Within thirty daysafter thereportand any exceptions toit, theboardshall issue afurther order vacating, modifying, or making permanent the cease and desist orders as the facts require.

<u>36-18A-64. Orderbecomesfinalifnohearingrequested -- Otherremedies not precluded</u>.If no hearing is requested within the thirty days of service of the order, theorder becomes final and remains in effect until it is modified or vacated by the board. If the person to whom a cease and desistorderisissuedfailstoappearatthehearingafterbeingdulynotified, the person is indefault and the proceeding may be determined against that person upon consideration of the cease and desist order, the allegations of which may be considered to be true. Action taken pursuant to this section does not relieve a person from criminal prosecution by a competent authority or from disciplinary action by the board with respect to the person's license, registration, certification, application for examination, or renewal.

## 36-18A-65.Prohibitedacts -- Violation asClass2misdemeanor.No personmay:

- (1) Practice, or offer to practice, the professions of engineering, architecture, land surveying, landscapearchitecture, petroleumreleaseassessment, or petroleumreleaseremediation in this state without being licensed or exempt in accordance with the provisions of this chapter;
- (2) Use oremploythetitleofarchitect,landscape architect,land surveyor,professional engineer, petroleum release assessor, or petroleum release remediator with or without qualifying adjectives without being licensed in accordance with the provisions of this chapter;
- (3) Use any other words, letters, or figures indicating or intending to imply that the person is a professionalengineer, architect, landsurveyor, landscape architect, petroleum release assessor, or petroleum release remediator without being licensed in accordance with the provision of this chapter;
- (4) Present or attempt to use the certificate of licensure or seal of another, or affix a professional engineer's, architect's, land surveyor's, or landscape architect's seal on any plans, specifications, drawings, or other technical submittals which have not been prepared by that person or under that person's responsible charge and direct personal supervision;
- (5) Present any false or forged evidence of any kind to the board in obtaining a certificate of licensure;
- (6) Falsely impersonateany otherlicensee;
- (7) Attemptto usean expired, suspended, or revoked license;
- (8) Knowinglyallowperson'snameor sealtobeuseduponplansor worknotactuallyperformed by that person or under that person's responsible charge and direct supervision; or
- (9) Byactof commissionoromission, violateanyof the provisions of this chapter.

Aviolation of this section is a Class 2 misdemeanor.

#### 36-18A-66.Prohibitedacts -- Repeatedviolation asClass1 misdemeanor. Noperson may:

- (1) Acceptorcontracttoreceive, directly or indirectly, any commission, percentage, gift, or other item of value for that person's influence in securing a contract or approving the performance of a contract, from any manufacturer, agent, or vendor of any material of any sort used or recommended to be used in the construction of any project for the plans or construction of which any person is employed; or
- (2) Giveoroffertogivetoanypersonanycommission,percentage,gift,orotheritemofvaluefor that person's influence in securing a contract or approving the performance of a contract or supplying any material of any sort which may be for consideration in the construction of any project. AviolationofthissectionisaClass2misdemeanor forthefirstoffenseandaClass 1 misdemeanor for the second or any subsequent offense.

<u>36-18A-67.Feetoreimburseboardforcosts</u>. Theboardmayimposeafeetoreimbursetheboard forallorpartofthecostofproceedingsresultingindisciplinaryactionauthorizedbythischapter, the imposition of civil penalties, or the issuance of a cease and desist order. The fee may be imposed if the board shows a person has committed an act or engaged in unauthorized practice, includingtheunauthorizeduseofatitle,words,figures,orsignsimplyinglicensure,orhasviolated a statute, rule or order of the board. The costs include the amount paid by the board for services from attorney fees, investigators, court reporters, witnesses, expert witnesses, reproduction of records,boardmembers'perdiemcompensation,boardstafftime,andexpenseincurredbyboard members and staff.

<u>36-18A-68. Limitation on liability of licensed professionals -- Exception</u>. A professional engineer, architect, land surveyor, landscape architect, petroleum release assessor, or petroleum release remediator is not liable for the safety of persons or property on or about a construction project site, or for the construction techniques, procedures, sequences, and schedules, or for the conduct, action, errors, or omissions of any construction contractor, subcontractor, construction manager,ormaterialsupplier,theiragentsoremployees,unlessthatpersonassumesresponsibility thereforebycontractorbythatperson'sactualconduct. Thissectiondoesnotrelieveaprofessional engineer, architect, land surveyor, landscape architect, petroleum release assessor, or petroleum release remediator from liability for that person's negligence in design work.

<u>36-18A-69.Contractsinviolationunenforceable</u>.Anycontract,writtenororal,forengineering, architectural,landsurveying,landscapearchitectural,petroleumreleaseassessment,orpetroleum release remediation services made by any person in violation of any provision of this chapter is unenforceable as to such services. It is a complete defense to any action to enforce payment for any services, if the party contracting for services proves that the person rendering or offering to render services was not at the time such services were offered or rendered, legally authorized to contract for such services.

<u>36-18A-70. Plans, specifications, plats and submissions in violation unacceptable</u>. No public officeroremployee, as defined in subdivisions 22-1-2(37) and (39), charged with the authority or responsibility of approving or accepting plans, specifications, plats, or any other technical submissions, may accept or approve such plans, specifications, plats, or technical submissions which have been prepared in violation of this chapter.

The building official shall require the owner toengage and designate an architect or professional engineer who shall act as the architect or professional engineer of record on projects that are not exempt. If the circumstances require, the owner may designate as ubstitute architector professional engineer of record who shall perform all of the duties required of the original architect or professional engineer of record. The building official shall be notified in writing by the owner if the architector professional engineer of record is responsible for reviewing and coordinating all submittal documents prepared by others, including deferred submittal items, for compatibility with the design of the building. A building permit issued with respect to technical submissions which do not conform with the requirements of this chapter are invalid.

**36-18A-71.** Register of deeds not to record land survey documents which do not containsignature, seal and date. No register of deeds of any county may file or record any map, plat, survey, or other technical submissions within the definition of land survey ing which does not have impressed there on and affixed thereto the personal signature, seal, and date of aland survey or by

whomorunderwhoseresponsiblechargeanddirectpersonalsupervisionthemap,plat,survey,or other technical submissions were prepared.

<u>36-18A-72. Board to establish procedures and standards for certification of</u> <u>environmentaltechnical services</u>. The board may promulgate rules, pursuant to chapter 1-26, regarding procedures and standards for certifying those who perform environmental technical services relative to site assessment, remedial investigations, and corrective actionsnecessary to remediate

water or soil contaminated with a regulated substance and to establish feest osupport this activity.

Procedures and requirements may be promulgated by rule for determining eligibility, denial, suspension, and revocation of certification. Standards may include more than one level of certification for persons and business entities, any combination of academic background, professional experience, testing, or other technical professional licenses. Any person or business entity who practices without certification pursuant to this section is guilty of a Class 1 misdemeanor.

**36-18A-73.** Certification required for environmental technical services -- Violation asmisdemeanor. No person or business entity may perform environmental technical services without certification. A violation of this section is a Class 1 misdemeanor.

<u>36-18A-74.Continuationoflicensesandenrollmentsissuedunderchapter36-18</u>.Alllicenses and enrollmentsineffectonJuly1, 1999, and issued pursuanttochapter36-18, are continued for the balance of the term for which last issued.

<u>38-18A-75.Certain activities of golf course designers authorized -- "Golf course designer" defined.</u>Nothinginthischapterprohibitsanypersonfromengaginginthepracticeof,orofferi ng toengageinpracticeas,agolfcoursedesigner.Forpurposesofthissection,theterm,golfcourse designer, means a person who performs professional services such as consultation, investigation, reconnaissance, research, design, preparation of drawings and specifications, and responsible supervision, if the predominant purpose of such services is the design of a golf course.

**36-18A-76.Limitation on liability of architects and engineers related to services provideduponrequestofofficialfollowingdisasterorcatastrophicevent**.Noarchitectorengineerm ay be held liable for personal injury, wrongful death, property damage, or other loss related to any architectural, structural, electrical, mechanical, or other professional design service provided by the architect or engineer, voluntarily or without compensation, at the request or approval of a national, state,orlocalpublicofficialinresponsetoadeclarednational,state,orlocalemergency caused by a tornado, fire, explosion, collapse or other similar disaster or catastrophic event. This section applies to services rendered within ninety days following the end of the declared emergency, disaster, or catastrophic event unless extended by executive order of the Governor. Limited liability under this section does not apply if the injury, death, or damage is the result of gross negligence or willful or wanton misconduct. For the purposes of this section compensation does not include reimbursement for expenses. THISPAGELEFTBLANKINTENTIONALLY

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### SOUTHDAKOTASTATEBOARDOFTECHNICALPROFESSIONS IneffectDecember21,2020

#### **CHAPTER 20:38:27**

## **GENERALRULESAFFECTING BOARD**

#### Section

Definitions.
Meetings.
Organization.
Voting.
Dutiesofboardmembers.
Absence of officers.
Nationalcouncils.

**<u>20:38:27:01</u>**.**Definitions**.TermsdefinedinSDCLchapter36-18Ahavethesame meaning when used in this article. In addition, terms used in this article mean:

- (1) "ARE", ArchitecturalRegistration Examination;
- (2) "ASAC/ABET,"AppliedScienceAccreditationCommissionofAccreditationBoard for Engineering and Technology, Inc.;
- (3) "AXP," architectural experience program:
- (4) "CACB,"CanadianArchitecturalCertificationBoard;
- (5) "CLARB,"CouncilofLandscapeArchitecturalRegistrationBoards;
- (6) "EAC/ABET,"EngineeringAccreditationCommissionofAccreditationBoardfor Engineering and Technology, Inc.;
- (7) "EI,"engineeringintern;
- (8) "EIT,"engineer-in-training;
- (9) "FE,"Fundamentals of Engineering Examination;
- (10) "FS,"FundamentalsofLandSurveyingExamination;
- (11) "LAAB,"LandscapeArchitecturalAccreditingBoard;
- (12) "LARE,"landscapearchitecturalregistrationexam;
- (13) "LSI,"landsurveyingintern;
- (14) "LSIT,"landsurveyor-in-training;
- (15) "NAAB,"NationalArchitecturalAccreditingBoard;
- (16) "NCARB,"NationalCouncilofArchitecturalRegistrationBoards;
- (17) "NCEES,"NationalCouncilofExaminersforEngineeringandSurveying;
- (18) "NICET," National Institute for Certification in Engineering Technologies;
- (19) "PDH,"ProfessionalDevelopmentHour;
- (20) "PE,"PrinciplesandPracticeofEngineeringExamination;
- (21) "PS,"PrinciplesandPracticeofLandSurveyingExamination; and
- (22) "TAC/ABET,"TechnologyAccreditationCommissionofAccreditationBoardfor Engineering and Technology.

**<u>20:38:27:02</u>**. **Meetings.** TheboardshallmeeteveryothermonthbeginninginJanuary at the board's office unless otherwise specified by notice.

<u>20:38:27:03</u>.Organization.At itslast regularmeeting prior toJune30, theboard shall electachair,vicechair,andsecretaryfromitsmembers. Thenewlyelectedofficersshall take office on July 1.

**<u>20:38:27:04</u>**.**Voting.** Any member of the board, including the chair, may vote and makeorsecondmotions. Amajority of those present is required to pass a member of the board.

<u>20:38:27:05</u>.Duties of board members. The chair shall preside at all meetings and shallappointanycommitteesauthorizedbytheboard.Thechairshallsignallcertificates of licensure and other official documents. The chair shall perform all duties usually pertaining to the office of the chair. The vice chair shall perform the duties delegated to the chair in the absence or incapacity of the chair. The secretary is responsible for the supervision of the minutes of all meetings.

<u>20:38:27:06</u>. Absence of officers. In theabsence of the chair and vice chair from a meeting of the board, there maining members shall electapresiding officer who shall serve until the conclusion of the meeting or until the arrival of the chair or vice chair.

**20:38:27:07**. National councils. The board may affiliate with the National Council of Architectural Registration Boards (NCARB), the National Council of Examiners for Engineering and Surveying (NCEES), and the Council of Landscape Architectural Registration Boards (CLARB). Any board member, consultant, or employee appointedbytheboardmayattendmeetingsofthecouncilsatboardexpense, subjecttocompliance with SDCL 3-9-2, 3-9-4, and 3-9-6.

## CHAPTER 20:38:28

## LICENSUREANDENROLLMENT

Section

20:38:28:01	Certificate.
20:38:28:02	Renewals.
20:38:28:03	Inactiveorretiredstatus.

## 20:38:28:01. Certificates. Uponsatisfaction of all requirements for

licensure as a professional, enrollment as an intern, or licensure as a business entity, the executive director shall provide the applicant with a numbered certificate indicating the typeoflicensureorenrollment, and the issue or expiration date. The board shall enter the name of each applicant granted licensure or enrollment into its meeting minutes. Acertificate of enrollment as an interndoes not authorize the enrolleet opractice as a licensed professional or to imply that such a professional title is held.

20:38:28:02.Late renewals.Within threeyears from the date of expiration, the board

may accept a late renewal if the expired license holder pays the laterenewal penalty fee, \_ the biennial renewal fee, meets continuing professional development requirements, and passes a take-home examination covering South Dakota laws and ethics. A business entity must only pay the late renewal fee and the biennial renewal fee. After three years from the date of expiration, an individual or business entity must submit a new application for licensure. Aperson shall not practice or offert opractice as a professional during the period of licensure expiration.

<u>20:38:28:03</u>.Inactiveorretiredstatus.Uponlicenseerequest,theboardmaygrant inactive or retired status if the following criteria are met:

- (1) Theperson nolongerpractices the profession or offers to practice the profession;
- (2) Thepersonhasnothadaprofessionallicenserevokedin anystate; and
- (3) Therequiredfeesare paid.

A person maintaining inactive status may apply for license reactivation if the person meets all current requirements for licensure, including continuing professional development, paystheapplicationfeeandpassesatakehomeexaminationcoveringSouthDakotalawsand ethics. A person maintaining retired status may use the appropriate title with the notation "Retired" after the title.

### CHAPTER 20:38:29

### APPLICATIONS

Section

20:38:29:01	Application required.
20:38:29:02	References.
20:38:29:03	Deadlines.
20:38:29:04	Notification.
20:38:29:05	Denial.
20:38:29:06	Expiration.

### 20:38:29:01. Application required.

Anapplicationisrequiredinaccordancewiththeprovisionsofthischapter, for each of the following:

- (1) Individuallicensure;
- (2) Individuallicenserenewal;
- (3) Individual license reactivation;
- (4) Businessentity licensure;
- (5) Businessentitylicenserenewal;
- (6) Businessentitylicensereactivation; and
- (7) Admissiontoexamination, includingFE, PE, FS, LS, and petroleum release examinations.

An application must be made on the appropriate form, be complete, legible and accompanied by the appropriate application fee.By submitting an application, an applicant certifies, under penalty of perjury, that the information submitted by the applicant is true and correct.Anapplicantmayberequiredtoclarify, or provide additional information as needed to fully evaluate the application.

Anychangeintheinformationprovidedbyanapplicantorlicensee, includingthemailor email address must be provided to the board office within 30 days of the change.

<u>20:38:29:02</u>.References.Applications for licensure shall include the names and addresses of at least five references. At least threereferences must be licensed professionals in goodstandingintheapplicant'sprofession, and each reference must have personal knowledge of the applicant's experience.

Applications for admission to examination shall include, as references, the names and addresses of the licensed professionals in responsible charge of the applicant's professional experience in each professional employment period. If any response is unfavorable, additional qualifying experience may be required. If a reference fails to respond, the application may be delayeduntileithertheresponseisreceivedortheresponseofanotherreferenceisreceived. A current board member may not be used as a reference.

**20:38:29:03. Deadlines.** Applications complete with all required information and with paymentof therequiredfee, must be filed with the executive director according to the following schedule of deadlines:

- (1) Individualorbusinessrenewal orreactivation--monthly on the last dayof the month;
- (2) Individualor businesslicensure--January1,March1,May1,July1,September1, and November1;
- (3) FEor FSexamination--January 1,March1,May 1,July1,September1,and November1;
- (4) PE or PSexamination--January1andJuly1;
- (5) LARE--January1, March1, May1, July1, September 1, and November 1;
- (6) Petroleumreleaseexamination--January1,March1,May1,July1,September 1, and November1.

<u>20:38:29:04</u>.Notification. An applicant shall be notified by mail of the board's approvalordenialofanapplication.Iftheapplicationisdenied,thenotificationshallincludean explanation.

**20:38:29:05**.**Denial**.InadditiontothegroundsinSDCL36-18A-40, 36-18A-56, 36- 18A-65, and 36-18A-66, theboardmaydenyanapplicationbasedongroundsstated inchapter 20:38:36.

<u>20:38:29:06</u>.Expiration. Anapplication shall expire and bedestroyed aftersix months from receiptifthe application is not completed within that time, or if the application is denied by the board for any reason.

#### **CHAPTER 20:38:30**

# **EDUCATIONREQUIREMENTS**

Section

20:38:30:01	Architecture.
20:38:30:02	Engineering.
20:38:30:03	Land surveying.

20:38:30:04	Landscape architecture.
20:38:30:05	Petroleum release assessment.
20:38:30:06	Petroleumreleaseremediation.

<u>20:38:30:01</u>.Architecture- Anapplicantforlicensureasanarchitectshallmeetthe following education requirement:

(1) Aprofessional degree in architecture from a program accredited by the National Architectural Accreditation Board (NAAB);

(2) AprofessionaldegreeinarchitecturefromaCanadianuniversitycertifiedbythe Canadian Architectural Certification Board (CACB);

(3) A degree in architecture or a bachelor degree in an architecture-related program satisfyingadegreeevaluationbyEducationEvaluationServicesforArchitects(EESA)asapart of NCARB's education alternative certification program; or

(4) Adegreeinarchitecturefromaforeigneducationprogramthatsatisfiesaforeign degree evaluation by EESA.

For a degree evaluation by EESA, NCARB will, upon applicant request, inform the applicant of thetypeofevaluation required fromEESA. Theapplicant must askEESAto send a copyofitscompletedevaluationreportdirectlytoNCARB. Baseduponthereport,NCARBwill determinewhether theapplicanthasmettheeducationrequirement, or willmeettherequirement when all reported deficiencies have been corrected via additional course work or testing as prescribed by EESA.

AnNCARBcertificationshallbeacceptedbytheboardasevidencethattheeducation requirement has been met.

<u>20:38:30:02</u>.Engineering-Anapplicantforlicensureasaprofessionalengineershall meet the following education requirement:

(1) AbachelorleveldegreefromaprogramaccreditedbytheEngineeringAccreditation Commission (EAC) of the Accreditation Board for Engineering and Technology (ABET) or its equivalent as evaluated by NCEES Credentials Evaluation Service;

(2) AbachelorleveldegreefromaprogramaccreditedbytheTechnologyAccreditation Commission (TAC) of ABET or its equivalent as evaluated by NCEES Credentials Evaluation Service;

(3) AbachelorleveldegreefromaprogramaccreditedbytheCanadianEngineering Accreditation Board (CEAB);

(4) AdegreesatisfyingaforeigndegreeevaluationbytheNCEESCredentials Evaluation Service; or

(5) AdegreesatisfyingadomesticdegreeevaluationbytheNCEES Credentials Evaluation Service.

<u>20:38:30:03</u>.Landsurveying. Anapplicantforlicensureasalandsurveyorshallmeet the following education requirement:

(1) Abachelor leveldegreeinsurveyingfromaprogramaccreditedbyEAC,ASACor TAC of ABET or other education assessed by the board as equivalent;

(2) Abachelorleveldegree ina relatedtechnicalprogram; or

(3) Abachelorleveldegreeinanon-relatedprogramoranassociateleveltechnical degree in surveying or related technical program.

Inallcases, referenceabovetoadegreeinarelatedtechnicalprogramshallbeadegree having a programof study which includes basic courses in mathematics, physical science, and other subject areas related to surveying. Theboard will determine which programs arerelated technical programs and which are non-related programs.

Each applicant for licensure must complete at least 24 total semester credit hours of surveying education, which mayconsist of thesuccessful completion of eithersurveying courses included in the degree programorsurveying courses which were taken outside of and inaddition to the degree program, or a combination of both. The surveying courses must be acquired from education institutions offering degrees as described above or as acceptable to the board. The surveying courses shall be unique in title and subject matter, and may not be duplicates of each other. The surveying courses shall range from basic principles of surveying through advanced applications of surveying, and shall include courses on the original public land survey systemand boundary surveying. In the event all or a portion of the surveying education is earned as quartercredithours, the equivalented ucations hall be based on equating one semestercredithour as being equal to one and one-half quarter credit hours.

Land surveying interns (LSIT or LSI) who have completed all of the requirements and whoapplyforlicensureasalandsurveyorpriortoDecember31, 2022, mayapplyforlicensure under the licensing requirements that existed on January 1, 2011.

<u>20:38:30:04</u>.Landscape architecture.An applicant for licensure as a landscape architect shall have a professional degree in Landscape Architecture from program accredited by the Landscape Architectural Accrediting Board (LAAB).ACLARB certification is required as evidence that the education requirement has been met.

<u>20:38:30:05</u>.Petroleumreleaseassessment.AnapplicantforlicensureasaPetroleum Release Assessor shall meet the following education requirement:

- (1) Abachelor leveldegreeinengineeringorrelatedsciences; or
- (2) Ahighschooldiploma.

<u>20:38:30:06</u>.Petroleumreleaseremediation.Anapplicantforlicensureasa Petroleum Release Remediator shall meet the following education requirement:

- (1) Amaster or doctoralleveldegreeinengineeringorrelatedsciences; or
- (2) Abachelorleveldegreeinengineeringorrelatedsciences.

### CHAPTER 20:38:31

### **EXPERIENCEREQUIREMENTS**

Section

- 20:38:31:01 Experiencerequired.
- 20:38:31:02 Architecture.
- 20:38:31:03 Engineering.
- 20:38:31:04 Land surveying.
- 20:38:31:05 Landscape architecture.
- 20:38:31:06 Petroleumreleaseassessment.
- 20:38:31:07 Petroleumreleaseremediation.

<u>20:38:31:01</u>.Experience required.Experience is required in accordance with the provisions of this chapter.Experience credit may be granted for part-time and full-time work, but overtime will not be considered. The board may require as evidence of experience, exhibits ofplans, specifications, andothertechnicalsubmissionsinthepreparationofwhichtheapplicant participated,togetherwith astatement fromthelicensedprofessional in responsiblechargeofthe

work attesting to the extent of the applicant's involvement.For land surveying applicants, experience prior to completion of education shall be in accordance with § 20:38:31:04.For otherapplicants, experience prior to completion of education maybegranted experience credit at the rate of one-half year for each full year of experience, not to exceed one year total experience credit.Of this, no more than six months may be credited to any student for work experiencegained during the summerbreaks. Work experience under the direct supervision of a design professional licensed in a foreign country shall be evaluated by the board. Work experience credit gained in the armed services must be of a character equivalent to that which would have been gained in the civilian sector doing similar work, such as service in an architectural, engineering, or engineering-related group.

Foranapplicantwithadegreefromaforeigneducationprogram, experiencemust includeaminimumoftwoyearsofqualifyingexperiencegainedintheUnitedStates.An applicant must be proficient in English.

<u>20:38:31:02</u>.Architecture.AnapplicantforlicensureasanArchitectshallhave completed the NCARB Architectural Experience Program (AXP).

<u>20:38:31:03</u>.Engineering.AnapplicantforlicensureasaProfessionalEngineershall meet the following experience requirement:

(1) three years of experience for an applicant meeting the education requirement describedinsubdivision20:38:30:02(1)andwhohasalsoobtainedamasterordoctorallevel engineering degree;

(2) fouryearsofexperienceforanapplicantmeetingtheeducationrequirementdescribed in subdivision 20:38:30:02(1); or

(3) five years of experience for an applicant meeting the education requirement described in § 20:38:30:02(2), (3), (4), or (5).

To qualify, experience must be completed under the direct supervision of a licensed professional engineer who is actively engaged in the practice of engineering. Experience must consist of employment or services that require the application of special knowledge of the mathematical,physical,andengineeringsciencesincludingthepreparationandinterpretationof engineering data.

Experience may include engineering supervision of construction, where the health, safety, orwelfareofthepublicisinvolvedbutnottheprimaryresponsibilityoftheapplicant. Such experience is acceptable whether the person who bore the responsibility for the work involved was the applicant or the applicant's supervisor in responsible charge.

Experienceshallbereviewedbytheboardforconclusiveevidencethattheapplicanthas the ability to design and apply scientific principles independently and that the applicant's judgment may be trusted.

Experienceofanintermittentengineeringnature, suchassales-engineering assignments, combined engineering and miscellaneous office duties, or engineering assignments in which a large portion of the work operational or maintenance, the boardshall allow creditin thesame proportion that the work which requires the application of engineering sciences and skills bears to the whole.

<u>20:38:31:04</u>.Landsurveying.AnapplicantforlicensureasaLandSurveyorshall meet the following experience requirement:

(1) four years of experience, of which a minimum of two years shall be after earning the degree and a minimum of two years shall be after enrollment as a land surveying intern in accordance with 20:38:32:04, for an applicant meeting the education requirement described in § 20:38:30:03(1) or (2); or

(2) seven years of experience, of which a minimum of three years shall be after earning the degree and a minimum of two years shall be after enrollment as a land surveying intern in accordancewith \$20:38:32:04, for an applicant meeting the education requirement described in subdivision 20:38:30:03(3).

Toqualify,landsurveyingexperiencemustbecompletedunderthedirectsupervision of a licensed professional land surveyor whois actively engaged in the practice of land surveying. Experience must consist of employment or services that require the application of special knowledge and technical skills acquired in the practice of land surveying as follows:

- (1) Correctdeterminationanddescription of landsfor conveyanceorencumbrance;
- (2) Establishmentorreestablishment of land boundaries;
- (3) Plattingoflandsinsubdivisions;

(4) Surveyedacquisitionofdatarelativetotheshapeoftheearth'ssurfaceandfixed objects thereon and therein for the purpose of presenting mapped information; and

(5) Surveysnecessarytoplanforthealterationoftheearth'ssurfaceortocreatefixed works thereon.

Intermittent experience of a land surveying nature shall be credited in the same proportion that the work of a land surveying nature bears to the total experience period. Surveying experience normally identified with engineering projects, including design surveys for

highways or bridges(exceptthose that relateto right-of-way surveys), construction staking, curb andgutters, and sanitary sewers may be considered as part of the land surveying experience up to onethird of the minimum total required experience, since such experience can be considered basic to the development of the land surveyor.

Experienceteachinglandsurveyingcoursesinprogramsasdescribedin§20:38:30:03 may be granted experience credit up to a maximum of one year.

Land surveying interns (LSIT or LSI) who have completed all of the requirements and who apply for licensure as a land surveyor prior to December 31, 2022 may request that their applicationbereviewedonthebasisoftheexperienceandeducationrequirements which existed on January 1, 2011.

<u>20:38:31:05</u>.Landscape architecture. An applicant for licensure as a Landscape ArchitectisrequiredtohaveexperienceasdeterminedbyCLARB.Theapplicant'sCLARB council record shall be provided to the board for evaluation and acceptance.

<u>20:38:31:06</u>.Petroleumreleaseassessment. AnapplicantforlicensureasaPetroleum Release Assessor shall meet the following experience requirement:

(1) Oneyearexperienceforanapplicantmeetingtheeducationrequirementdescribedin subdivision 20:38:30:05(1); or

(2) Fiveyearsexperienceforanapplicantmeetingtheeducationrequirementdescribed in subdivision 20:38:30:05(2).

To qualify, experience must be gained under the direct supervision of a licensed professionalandberelatedtositeassessments,remedialinvestigations,andcorrectiveactions necessary to remediate water or soil contaminated with petroleum.

<u>20:38:31:07</u>.Petroleumreleaseremediation.AnapplicantforlicensureasaPetroleum Release Remediator shall meet the following experience requirement:

(1) Twoyears experience for an applicant meeting the education requirement described in subdivision 20:38:30:06(1); or

(2) Threeyearsexperienceforanapplicantmeetingtheeducationrequirementdescribed in subdivision 20:38:30:06(2).

To qualify, experience must be gained under the direct supervision of a licensed professionalandberelatedtositeassessments,remedialinvestigations,andcorrectiveactions necessary to remediate water or soil contaminated with petroleum.

### **CHAPTER 20:38:32**

### **EXAMINATIONREQUIREMENTS**

Section

Examinationrequired.
Architecture.
Engineering.
Land surveying.
Landscapearchitecture.
Petroleumreleaseassessmentorremediation.

**<u>20:38:32:01</u>**.**Examinationrequired**.**E**xaminationisrequiredinaccordancewiththe provisions of this chapter.

Allapplicantsforadmissiontoexaminationshallpaytherequiredfeetotheappropriate organization or vendor prior to admission to the examination.

Examination vendors may be used to administer examinations.Policies and procedures of the examinationvendor shall befollowed in accordance with the vendor's agreement with the board. Examination results will be reported as either pass or fail, will be treated as confidential andwillbetransmittedonlybyU.S. mailtotheexamineeattheexaminee'slastknownaddress.

Cheatingorotherviolationofexaminationsecurityprovisionswillresult inautomatic failure of the examination. No person may review any portion of any examination.

Allapplicantsshallpassatake-homeexaminationonSouthDakotalicensurelawsprior to licensure.

Anexamineeseekinganaccommodationforadisabilityshall makearequestofthe examination vendor with sufficient notice to enable arrangements for the accommodation.

<u>20:38:32:02</u>.Architecture. AnapplicantforlicensureasanArchitectshallpassthe ARE, which is prepared and scored by the NCARB.

AnapplicantmayapplytoNCARBforadmissiontotheexaminationuponsatisfaction of the architecture education requirement.

An applicant who fails all or part of the examination may retake the examination in accordance with this section. A passing grade for any division of the examination is valid for five years, after whichtime thedivision must beretaken if theremaining divisionshave not been passed. The five year period begins on the date when the first passed division must be retaken and the five year period begins on the date when the next oldest passed division is administered.

**20:38:32:03.Engineering.** AnapplicantforlicensureasaProfessionalEngineershall pass the Fundamentals of Engineering (FE) examination and the Principles and Practice of Engineering (PE) examination, which are prepared and scored by NCEES.

An applicant who has satisfied the engineering education requirement or who is a senior withintwosemestersofgraduatingfromanABETaccreditedengineeringprogramsapproved by

theboardmayapplyforadmissiontotheFE examination.Theapplicantmusthaveatranscript or senior status verification sent directly from the school to the board office as evidence of applicant'sstatus.AnapplicantwhopassestheFEexaminationshallbeenrolledasanengineer- intraining (EIT) or an engineering intern (EI).Passage of the FE examination does not authorize any person to practice as a professional engineer or to imply that such a professional title is held.

AnapplicantwhohaspassedtheFEexaminationandhassatisfiedtheengineering education and experience requirements may apply for admission to the PE examination.

Anapplicantwho failstheFEorPEexaminationmayretakethe examinationtwotimes. If the applicant fails the examination three times, the applicant may submit only one new applicationforadmissiontotheexaminationpercalendaryearthereafter.Theboard mayreview an applicant who has failed the examination three times and may require additional qualifying education or experience.

**20:38:32:04.Landsurveying.** Anapplicantforlicensureasalandsurveyorshallpass the Fundamentals of Land Surveying (FS) examination, the Principles and Practice of Land Surveying (PS) examination and an open book, take-home examination of South Dakota land surveying practice and laws.

Current students and graduates of the educational programs described in § 20:38:30:03 that have either successfully completed, or are currently enrolled in, a minimum total of 18 semester credit hours of surveying courses within, or in addition to, their program of study may apply for the FS examination. An applicant who passes the examination shall be enrolled as a landsurveyor-in-training(LSIT) orlandsurveyingintern(LSI). Passageof theexaminationdoes notauthorizeanypersontopracticeasalandsurveyorortoimplythatsuchaprofessionaltitleis held.

Applicants who have completed all of the requirements and who apply for the FS examination prior to December 31,2015, may request that their application bereviewed on the basis of the education and experience requirements which existed on January 1, 2011.

AnapplicantwhohaspassedtheFSexaminationandhassatisfiedthelandsurveying education and experience requirements may apply for admission to the PS examination.

TheSouthDakotaportionoftheexaminationis anopenbook,take-homeexamination. There is a four-hour version for applicants who have passed 12 hours of the NCEES examinations; a two-hour version for applicants who have passed 14 hours of the NCEES examinations; and a one-hour version for applicants who have passed 15 hours of the NCEES examinations. Eachapplicantshallcompleteandreturntheapplicableversionofthetake-home examination to the board's office within 30 calendar days after the date of mailing of the examination to the applicant.

AnapplicantwhofailstheFSorPSexaminationmayretake theexaminationtwotimes. If the applicant fails the examination three times, the applicant may submit only one new applicationforadmissiontotheexaminationpercalendaryearthereafter.Theboardmayreview an applicant who has failed the examination three times and may require additional qualifying education or experience.

**<u>20:38:32:05</u>**.**LandscapeArchitecture**.AnapplicantforlicensureasaLandscape Architect shall pass the LARE, which is prepared and scored by CLARB.

AnapplicantmayapplytoCLARBforadmissiontotheexaminationuponsatisfaction of the landscape architecture education and experience requirements.

<u>20:38:32:06</u>.Petroleumreleaseassessmentorremediation. Anapplicantforlicensure as a petroleum release assessor or remediator shall pass the petroleum release examination,

which is prepared and scored by the board. The examination is an open book, take-home examinationoverSouthDakotaprocedures andrules pertainingtopetroleumreleases. Alistof the procedures and rules and where they may be found is provided to each applicant with the examination. Each applicant shall complete and return the examination to the board's office within 30 calendar days after the date of mailing of the examination to the applicant.

Anapplicantmayapplyforadmissiontotheexaminationuponsatisfactionofthe Petroleum Release education and experience requirements.

An applicant who fails the petroleum release examination may retake the examination two times. If an applicant fails the examination three times, the applicant may submit only one new application for admission to the examination per calendar year thereafter. The board may reviewanapplicantwhofailstheexaminationthreetimesandmayrequireadditionalqualifying education or experience.

### CHAPTER 20:38:33

#### FEESAND PENALTIES

Section

20:38:33:01	Fee payments.
20:38:33:02	Application fees.
20:38:33:03	Examination fees.
20:38:33:04	Renewal fees.
20:38:33:05	Laterenewalpenalty.
20:38:33:06	Reactivation fee.
20:38:33:07	Reinstatement fees.
20:38:33:08	Duplicatecertificatefees.
20:38:33:09	Returned check fee.
20:38:33:10	Rosterfee.

<u>20:38:33:01</u>.Feepayments. Feesandpenaltiesmustbepaidinfullandare non-refundable.

#### **<u>20:38:33:02</u>**. Application fees. Application feesare as follows:

- (1) Applicationforlicensure,\$100;
- (2) Application for admission to examination, \$100;
- (3) Application for businessentitylicensure, \$100;
- (4) Applicationfortestingandlicensureasapetroleumreleaseassessorand remediator, \$150;
- (5) Applicationforupgradefrompetroleumreleaseassessortoremediatorwithin one year, \$25;
- (6) ApplicationforFSexaminationbyanapplicantwhohasmettheeducation requirements to be eligible to take this examination, no fee; and
- (7) ApplicationforFE examby an applicant who is enrolled as a senior or is a graduate of an ABET engineering degree program or equivalent, no fee.

**<u>20:38:33:03</u>**.**Examinationfees.** Certainexaminationfeesaresetbytheexamination vendor and are to be paid by the applicant directly to the vendor, as follows:

(1) NCEES for engineering and land surveying examinations;

(2) NCARBforarchitectureexaminations; and

(3) CLARBforlandscapearchitecturalexaminations.

Thefeetoretaketheopenbook, take-homeexaminationofSouthDakotalandsurveying practice and laws shall be paid to the board pursuant to the following fee schedule:

- (1) 4hourversionofthetakehome exam,\$60;
- (2) 2hourversionofthe takehome exam,\$30;and
- (3) 1hourversionofthetakehome exam,\$20

Thefeetoretaketheopenbook, take-homeexaminationforpetroleumreleaseassessoror remediator shall be paid to the board in the amount of \$60.

## 20:38:33:04.Renewalfees.Renewal feesareasfollows:

- (1) Individuallicense, activestatus,\$80
- (2) Individuallicense, inactive status, \$40 biennially
- (3) Individuallicense, retired status, \$10 biennially; and
- (4) Businessentitylicense\$80biennially.

## 20:38:33:05.Laterenewalpenalty.Laterenewal penaltiesareas follows:

- (1) Individuallicense, \$100;and
- (2) Businessentitylicense,\$100.

## 20:38:33:06. Reactivation fee. Reactivation of individual license from

Inactivestatus, \$80.

<u>20:38:33:07</u>.Reinstatementfees.Thefeesforreinstatementwithinthreeyearsafterthe date of expiration are as follow:

- (1) Individuallicense, \$180; and
- (2) Businessentity, \$180.

# 20:38:33:08. Duplicate certificate fees. Duplicate certificate fees areas follows:

(1) Intern, \$5;

- (2) Licensee, \$15; and
- (3) Businessentity, \$15.

**<u>20:38:33:09</u>**. **Returnedcheckfee.** Anapplicantwhosubmitsacheckthatisreturnedby a financial institution due to insufficient funds shall pay a returned check fee is of \$30.

**20:38:33:10.Rostersfee.** Thefeeforalist of current interns, individual licensees or business entity licensee is \$25 each.

# CHAPTER 20:38:34

# COMITYLICENSUREREQUIREMENTS

### Section

20:38:34:01	Comitylicensureapplication.
20:38:34:02	Education requirement.

20:38:34:03	Experiencerequirement.
20:38:34:04	Landsurveyingexaminationrequirement.
20:38:34:05	Application fees.

<u>20:38:34:01</u>. Comity licensure application. An applicant licensed in another state or foreign country must be fully licensed by the board before offering to practice or practicing professionalservices within the state of South Dakota. An applicant for licensure through comity must comply with all application requirements set out in chapter 20:38:29.

The executive directors hall request verification of licensure from the registration board in the state where the applicant passed a written examination. If licensure is not current in that state and examination verification cannot be obtained, the applicant shall provide evidence of continuous licensure in another state. If the applicant is licensed in the applicant's state of residence, the executive director shall request verification from that state board.

Averified professional record from NCARB for architects, CLARB for landscape architects, or NCEES for engineers and land surveyors may be considered by the board as evidence of meeting comity requirements and may expedite comity licensure.

<u>20:38:34:02</u>.Educationrequirement.Anapplicantforlicensurethroughcomityshall meet the necessary education requirement as described in SDCL 36-18A-35.

<u>20:38:34:03</u>.Experiencerequirement.Anapplicantforlicensurethroughcomity shall meet the necessary experience requirement as described in SDCL 36-18A-35.

An applicant for licensure through comity may receive credit for non-mentored experienceif theapplicanthasbeenlicensedinanotherjurisdictionforatleasttenyears and is currently in good standing with no pending investigations.

<u>20:38:34:04</u>. Land Surveying Examination requirement. An applicant for licensure throughcomityshallmeetthenecessaryexaminationrequirementasdescribedinSDCL 36-18A-35. Inaddition, anapplicantforlicensurethroughcomityshalltakeandpassatake-home examination on South Dakota licensure laws prior to licensure.

An applicant for licensure through comity in land surveying shall pass the appropriate version of the open book, take-home examination of South Dakota land surveying practice and laws,dependinguponthenumberofhoursofNCEESexaminationscompletedbytheapplicant, as described in § 20:38:32:04.

**<u>20:38:34:05</u>**. **Applicationfees.** An applicant for licensure through comity shall pay the necessary application fee as set out in § 20:38:33:02.

### CHAPTER 20:38:35

### CONTINUINGPROFESSIONALDEVELOPMENT

#### Section

- 20:38:35:01 Continuing professional development required for license renewal.
- 20:38:35:02 Alternativecontinuingprofessionaldevelopmentoptionforarchitects.
- 20:38:35:03 PDH sources.
- 20:38:35:04 Multiple licenses.
- 20:38:35:05 Reactivation.

20:38:35:06	PDHcredit.
20:38:35:07	Recordkeeping.
20:38:35:08	Exemptions.
20:38:35:09	Audits.
20:38:35:10	Compliance.
20:38:35:11	CarryoverPDH

#### 20:38:35:01. Continuingprofessional developmentrequired forlicense renewal.

Unless exempted, all individual licensees shall obtain a minimum of 30 PDH during the biennium preceding license renewal. PDH credit must be obtained in a qualifying professional development activity, including courses or activities with clear purposes and objectives that maintain, improve, or expand the licensee's skills and knowledge relevant to the licensee's field of practice.

Of therequired30PDH,aminimumof20PDHmustbeintechnicalsubjectsthatleadto further professional development in the licensee's field of practice. Technical subjects include design; environmental analysis; programming and planning; structural systems; lateral forces; mechanical, electrical, plumbing, and acoustical systems; construction methods; construction observation; site and soils analyses and design; accessibility; building codes; selection of building materials; and land survey.

Of the required 30 PDH, a maximum of 10 PDH may be in professional management subjects such as total quality management, professional business practice, and ethics.

LicenseessubmittingarenewalapplicationshallincludeacopyofthePDHlogrequired by subdivision 20:38:35:07(1). The licensee shall certify, under penalty of perjury, that all information submitted by the licensee in the application, is true and correct.

If notified by the board of an audit, a licensee shall supply sufficient additional informationtopermitverificationthatthecontinuingprofessional development requirement has been met.

#### 20:38:35:02. Alternative continuingprofessional developmentoption for architects.

As an alternative to the requirements of § 20:38:35:01, a licensed architect may meet the continued professional development requirement for renewal by obtaining 12 Continuing EducationHours(CEH)percalendaryear.CEHshallbeinhealth,safety,andwelfaresubjects acquired in structured educational activities.

For thepurposes of this section, CEH is 50 to 60 minutes of continuous instructional contact in structured educational activities intended to increase or update the licensee's knowledge and competence in health, safety, and welfare subjects.

For purposes of this section, astructured educational activity is onein which 75 percent of the activity's content and instructional time is devoted to health, safety, and welfare subjects related to the practice of architecture. The activity shall be provided by a qualified individual or organization and can be delivered by direct contact or distance learning methods.

Forpurposes of this section, health, safety, and welf are subjects are those that the board deems appropriate to safeguard the public in the following areas of practice:

(1) Buildingsystems:communications,electrical,fireprotection,mechanical,plumbing, security, and structural;

(2) Constructioncontractadministration:bidding,contractnegotiations, andcontracts;

(3) Constructiondocuments: deliverymethods, drawings, and specifications;

(4) Design:buildingdesign,interiors,masterplanning,safetyandsecuritymeasures,site design, and urban planning;

(5) Environmental:energyefficiency,hazardousmaterials,insulations,naturalhazards, natural resources, sustainability, and weatherproofing;

(6) Legal:accessibility,codes,ethics,insurancetoprotectownersandpublic,laws,life safety, regulations, standards, and zoning;

(7) Materialsandmethods:constructionsystems,equipment,finishes,furnishings,and products;

(8) Pre-design:landuseanalysis,programming,siteandsoilsanalysis,siteselection,and surveying; and

(9) Preservation:adaptation, historic, and reuse.

#### 20:38:35:03.PDHsources.PDHsourcesare asfollows:

- (1) Collegecourses, completed with passing grades;
- (2) Continuingeducationcourses, completed with passing grades;
- (3) Correspondence, televised, videotaped, audiotaped, Internet, and other shortcourses or tutorials if such activities include testing completed with verifiable passing scores;
- (4) Qualifyingprofessionalortechnicalseminars, in-housecourses, or workshops,
- (5) Presenting, teaching, or instructing in the qualifying activities listed in subdivision(1) to(4), inclusive, of this section;
- (6) Writingofpublishedpapers, articles, orbooks;
- (7) Activeparticipationinprofessionalortechnical societies;
- (8) Patents; and
- (9) Self-study activities, including Internet courses, books, or articles, or video/audio tapes,ifsuchactivities include testing or examination with formal, recorded passing scores.

Teachingcreditisvalidforteachingacourseorseminarforthefirsttimeonly. Teaching credit does not apply to part-time or full-time faculty.

<u>20:38:35:04</u>.Multiple licenses. A person licensed in more than one profession regulated by the board must obtain a combined to tal of 30 PDH biennially, at least one-third of which must be obtained in each profession.

<u>20:38:35:05</u>.Reactivation.A former licensee seeking reactivation of an expired license within three years of expiration must obtain a minimum of all delinquent PDH for the inactive years up to a maximum of 30 PDH. A former multiple licensee must obtain a minimum of 30 PDH, at least ten of which must be obtained in each profession.For reinstatement purposes, the expiration date of licensure for additional professions shall be the same expiration dateasthatforthefirstprofession.Apersonmaintaininginactivestatusandseekingreactivation must earn 15 PDH for each year exempted before returning to active practice, up to a maximum of 30 PDH.

**<u>20:38:35:06</u>.PDH credit.** The boardisthefinalauthority for approvalofPDH credit. Theboardmaynotpre-approvecoursesor follows:

- (1) Onecollegesemesterhour,45PDH;
- (2) Onecollegequarterhour, 30PDH;
- (3) Onecontinuingeducationunit(CEU),10PDH;
- (4) Programtime f professional development course work or seminars as follows:

ProgramTime	PDHCredit
0to29minutes	nocredit
30to49minutes	0.5PDH
50to79minutes	1.0PDH
80to109minutes	1.5PDH
Greaterthan109minutes	Actualtimeroundedtothenearesthalf hour;

(5) Onehourofpresenting, teaching or instructing, 2PDH;

(6) Eachpublishedpaper,article,or book,10PDH;

(7) Activeparticipationineachprofessionalorganizationor technicalsociety,2PDHper organization per biennial renewal period, maximum 6 PDH per biennial renewal period; and

(8) Eachpatent, 10PDH.

<u>20:38:35:07</u>.Recordkeeping.AlicenseeshallmaintainrecordstosupportPDHcredits claimed. Records sufficientfor auditpurposes must be maintained for a minimum of three years after the date of renewal. A licensee shall keep the following:

(1) A log showing the date of the activity, type of activity claimed, sponsoring organization, location, duration, instructor's or speaker's name, and PDH credit claimed; and

(2) Attendanceverificationrecordsintheformofcompletioncertificatesorother documents supporting evidence of attendance.

Records as maintained by the following professional record repositories may be provided in lieu of the above documents; the American Institute of Architects, the Professional Development Registry for Engineers and Surveyors, CLARB, or NCEES.

<u>20:38:35:08</u>.Exemptions.Alicenseemaybetemporarilyexemptedfromcontinuing professional development requirements as follows:

(1) AlicenseeservingontemporaryactivedutyinthearmedforcesoftheUnitedStates for more than 120 consecutive days in the biennium prior to renewal is exempt from the continuing professional development requirementfor thatbiennium. Supporting documentation must be furnished to the board;

(2) A licensee experiencing physical disability, illness, or other extenuating circumstances in the last six months of the biennium professional development requirement for that biennium. Supporting documentation must be furnished to the board;

(3) Alicenseemaintaininginactiveorretiredstatus, subject to the provisions of this chapter.

<u>20:38:35:09</u>. Audits. The executive director shall select a random sample of license renewalstoauditfor compliance.Eachlicenseeselectedfor auditshallsubmit aPDHlogofthe

PDH claimed for the biennium preceding license renewal along withdetailed information and documentation for the PDH credit claimed. The board may also audit based on complaints or chargesagainstalicensee. Auditsubmissionsmustbeorganized in the same manner as the PDH log. Failure to submit therequired documentation within 30 days of an audit notification or other request for information may result in disciplinary action. Falsification of documentation is grounds for disciplinary action.

<u>20:38:35:10</u>.Compliance.If an audit indicates a failure to comply with the professionaldevelopmentrequirements, the licensee will have 30 calendardays after receipt of written notice to further reinforce the claim of PDH credits.

If the licensee is unable to reinforce the claim of PDH credits, the licensee shall acquire sufficientPDHcredittomeettherequirements within 30 days of the receipt of the written notice and is subject to disciplinary action.

Theboardmaytakeintoconsiderationhardshiporextenuatingcircumstancesinallowing correction of deficiencies, but the deadline may not be extended by more than one year.

<u>20:38:35:11</u>.Carryover PDH. If a licensee obtains more than the 30 PDH in a biennium, upto15PDHmaybecarriedovertothefollowingbiennium.AnyPDHcarriedover may only be carried over from the previous biennium.

# CHAPTER 20:38:36

# RULESOF PROFESSIONALCONDUCT

Section

20:38:36:01 Professionalconduct.

<u>20:38:36:01</u>. Professional conduct. To establish and maintain a high standard of integrity, skill, and practice in the professions and to safeguard the life, health, safety, welfare, and property of the public, the following rules of professional conduct are binding upon each personholdingalicenseandonallbusinessentities authorized to offeror perform professional services under this article. Noncompliance with any of the rules of conduct can result in disciplinary action. Licensees shall comply with the following rules of professional conduct:

(1) Licenseesshallmaintaininterestinthepublicwelfareandbereadytoapplytheir special knowledge, skill, and training for the use and benefit of the public;

(2) Licenseesshallbecognizantthattheirfirstandforemostresponsibilityistothe public welfare in the performance of services to clients and employers;

(3) Licenseesmaynotassociatewithorallowtheuseoftheirnameinconnection with any enterprise, person, or firm of questionable character such as engaging in fraudulent or dishonest business or professional practices;

(4) Licensees shall carry onprofessional work in aspirit of fairness to all concerned, fidelitytoclientsandemployers, and loyaltytocountry and shall be devoted to high ideals of courtesy and personal honor;

(5) Licenseesshallactwithreasonablecareandcompetenceandshallapplythetechnical knowledge and skill which are ordinarily applied by other professionals of good standing whoare practicing in this state;

(6) Licenseesshallregardasconfidentialanyinformationobtainedaboutthebusiness affairs and technical methods or processes of a client or employer;

(7) Professionals shall accurately represent to a prospective or existing client or employer their qualifications and the scope of their responsibility inconnection with work for which they are claiming credit;

(8) Licenseesshallinformaclientoremployerofanybusinessconnections, interests, or affiliations that might influence their judgment or impair the disinterested quality of their services. If the license or affiliation, the licensee shall either terminate the business connection, interest of affiliation or offer to give up the employment;

(9) Licenseesshallacceptfinancialorothercompensationforaparticularservicefrom one source only unless there is full disclosure and the consent of all interested parties;

(10) Licenseesshallcomplywith the licensure laws and rules governing their professional practice in any United States jurisdiction;

(11) Licensees shall approve and seal only those documents and submissions that conform to accepted architectural, engineering, landscape architectural, land surveying, or petroleumreleasestandardsandsafeguardthelife,health,safety,welfareandproperty of the public;

(12) Licenseesshallconfineprofessionalservicestotheprofessionandtechnicalfieldin which they are licensed and competently qualified;

(13) Licensees shall undertake to perform professional services only when they, together with those whom they may engage as consultants, are qualified by education, training, and experienceinthespecifictechnicalareasinvolved. If a questionarises about the competence of a licensee to perform an assignment in a specific technical field which cannot be otherwise resolved to the board's satisfaction, the board may require the licensee to submit to an examination in the technical field as specified by the board;

(14) Licenseesmaynotsealplansorotherdocumentsforthepreparationofwhichthey were not in direct responsible charge or that deal with subject matter in which they lack competence;

(15) Licensees may not directly or indirectly use or make use of for their own benefit anyproperty, facility, or services of their client or employer unless prior authority is obtained;

(16) Licenseesshallcooperate with architectural, engineering, landscape architecture, land surveying, and petroleum release agencies in advancing those professions;

(17) Licenseesmaynotengageinanydiscriminatorypracticesprohibitedbylawinthe employment of personnel and in the conduct of business;

(18) Licenseesmaynotsolicitoracceptcompensationfrommaterialorequipment suppliers for specifying their products;

(19) Licensees may not solicit or accept compensation, directly or indirectly, from contractors, theiragents, orotherpartiesnotundercontractinconnectionwithworkforclients or employers for which they are responsible;

(20) Licensees may not offer to pay, directly or indirectly, a commission, political contribution, gift, orother compensation in order to secure work, exclusive of payment made to an employment agency for its services;

(21) Licensees shall take into account all applicable state and municipal laws, ordinances, and regulations and may not knowingly execute a project inviolation of them;

(22) Inthecourseofworkonaproject, ifalicenseebecomesawareofanactiontakenby the client or employer against thelicensee's advice, which violates applicable state or municipal laws and regulations and which will, in thelicensee's judgment, adversely affect the life, health, safety, welfare and property of the public, the licensee shall take the following actions:

(a) Advise the client or employer inwriting of the licensee's refusal to consent to the decision and give reasons for that refusal;

(b) If the licensee's advice is ignored despite the objection, terminate the licensee's services to the project; and

(c) Provideacopyofthelicensee's objection and reasoning to the public official charged with the enforcement of the applicable state or municipal laws and regulations;

(23) Licenseesshallindicateanyreservationonareferenceforanapplicantiftheyhave reason to believe the applicant is unqualified by education, training, or experience to become licensed. The licensee's opinion shall be based on the qualifications a reasonable and prudent professional would require an applicant to possess;

(24) Licenseesmayacceptanassignmentforcoordinationofanentireprojectifeach designsegmentissignedandsealedbythelicenseeresponsibleforpreparationofthatdesign segment;

(25) Licensees shall be completely objective and truthful in all professional reports, statements, ortestimonyandshallincludeallrelevantandpertinentinformationinthosereports, statements, or testimony;

(26) Licenseesmayexpressaprofessionalopinionpubliclyonlyifitisfoundedupon adequate knowledge of the facts at issue, upon background of technical competence in the subject matter, and upon honest conviction of the accuracy and propriety of the licensee's testimony if serving as an expert or technical witness;

(27) Licenseesmakingpublicstatementsonprofessionalquestionsshalldiscloseifthe licensee is being compensated for making such statements;

(28) Licensees shall make decisions impartially when acting as an interpreter of construction contract documents and a judge of contract performance, favoring neither party to the contract;

(29) Licenseeswhoareawareoftheviolationofanyoftherulesofprofessionalconduct by another licensee shall report the violation to the board for investigation;

(30) Licenseesmaintaining an officeinSouthDakotashall havealicenseeregularly employed and scheduled in that office who is indirect responsible charge of the professional work;

(31) Licenseesmaynot engagein conduct involving fraudordisregard oftherightsof others;

(32) Licensees are bound by and shall comply with all provisions relating to their profession and technical field contained in SDCL chapter 36-18 A and this article;

(33) Licenseesmust notify theboard within 30 daysifanother statehasdisciplinedthem withareprimand, censure, suspension, temporary suspension, probation, revocation or refusal to renew a license; and

(34) Licenseesshallrespondwithin30 daysof anauditnotification.

# CHAPTER 20:38:37

# **OTHERREQUIREMENTSOF LICENSEES**

### Section

20:38:37:01	Seals.
20:38:37:02	Prime professional.
20:38:37:03	Constructionadministrationservices.
20:38:37:04	Combiningarchitecture, engineering, and constructions ervices.
20:38:37:05	Land surveyors.
20:38:37:06	Performingservicesonexempt projectsorfor exempt entities.

#### 20:38:37:07 Petroleumreleasesamples.

<u>20:38:37:01</u>.Seals. A licensed professional engineer, architect, land surveyor, or landscape architect is responsible for the security and proper use of an appropriate seal. No petroleum release assessor, petroleum release remediator, or intern may obtain or use a seal. Improper use of aseal and failureto sign andseal final work isgroundsfor disciplinaryaction. Thesealshallbeusedonallfinaldocuments,includingplats,reports,plans, andspecifications. The seal implies responsibility for the entire submission unless the area of responsibility is clearly identified in the information accompanying the seal.Drawings prepared by a licensed professional shall have the seal and license number with a signature of the licensee who is in responsible charge on each sheet of those drawings.

Work performed during construction administration shall also be signed and sealed if it affects the intent of orchanges the lifes a fety aspects of the project. Any exempt project services performed by a licensed professional shall be sealed. Review drafts or presentation documents, such as renderings or drawings used to communicate conceptual information only, are not required to be signed and sealed.

<u>20:38:37:02</u>.Prime professional. The prime professional is the licensed architect or professional engineer who leads the design team and manage the design project. A prime professional is required for any project that requires multiple disciplines, such as, architectural, landscape architectural, civil, structural, mechanical, or electrical engineering. The prime professional is charged with the coordination of the design and construction administration services. The prime professional may require testing and uncovering of work to determine compliancewithapproved plansand specifications. The duties of the prime professional include the following:

(1) Acts as point of contact for the project team during the design phase to ensure dialogueamongparticipants, including owners, contractors, developers, design professionals, government bodies, and building officials;

(2) Verifiesthatthesubmittaltothebuildingofficialiscompatibleandcoordinated and provides a logical and comprehensive document;

(3) Verifies that the design submitted is complete, and that all requirements for calculations and specifications are complete and accurately deline at edon plans and related documents;

(4) Acts as point of contact during the review process with the building official and provides for timely response to questions, corrections, or requests for additional information on any element of the design package;

(5) Actsaspointofcontactforthedesignteamfollowingpermitissuance, responds to changes, clarifications, and additional information that may be required from members of the design team to owners, developers, contractors, or building officials; and

(6) Coordinates construction administration services.

<u>20:38:37:03</u>.Construction administration services.Construction administration servicesshallbeprovidedbyalicenseepracticingwithinthelicensee'sprofessionandcomprise the following minimum services:

(1) Visiting the construction site on a regular basis as is necessary to determine that the work is proceeding generally in accordance with the contract documents and technical submissions;

(2) Processing shop drawings, samples, and other submittals required of the contractor bytheterms of construction contract documents to assure general accordance with the plans and specifications; and

(3) Notifyingtheowner, theclient,theboard, andthebuildingofficial of any observed and uncorrected codeviolations; changes that affect code compliance; the use of any materials, assemblies, components, or equipment prohibited by a code; major or substantial changes between such technical submissions and the work in progress; or any deviation from the technical submissions that the design professional identifies as constituting a hazard to the public, which is observed in the course of performing the professional's construction administration duties.

Theproject's architector professional engineers hall report to the board and the building official if neither one is engaged to provide construction administration services.

<u>20:38:37:04</u>. Combining architecture, engineering, and construction services. A businessentityofferinganycombinationofarchitecturalandengineeringservicestogetherwith construction services such as design-build contracting, may offer to render architectural and engineering services only if:

(1) Anarchitectandprofessionalengineerlicensedinthestateparticipatessubstantially in all material aspects of the offering;

(2) There is written disclosure at the time of the offering that the architect and professionalengineerareengagedbyandcontractuallyresponsibletothecontractor, contractor as a joint venture, or owner/client;

(3) Thearchitectandprofessionalengineerwillhavedirectsupervisionofthedesign work and that the professional services are not terminated without the consent of the owner/client;

(4) Therenderingofarchitecturalandengineeringservicesbysuchprofessionalswill conform to the provisions of SDCL chapter 36-18A and this article; and

(5) Designprofessionalsperformconstructionadministrationontheprojects.

20:38:37:05.Landsurveyors. Alicensedlandsurveyorshallperformthefollowing services:

(1) Filing certified land corner records. On certified land corner records, a licensed surveyor shall date, sign and affix the licensed surveyor's number and seal on 8½ by 14-inch sheets before presenting a certificate for filing. The certified land record form shall show by sketch and explanation a complete description of the found or reestablished corner monument. The accessories established, stating how marked, material used, witness trees, witness objects, bearingobjects, and courses and distancestoadjacent corners if determined in reestablishing the corner described shall be on the certificate. The licensed surveyor shall describe evidence found of the original corner and give the original record if known. The licensed land surveyor may include other reference information such as State Plane Coordinates or other specified geodetic coordinates as evidence of the corner position. The licensed land surveyor may use the back of the certificate for additional sketches;

(2) File with the register of deeds. The certified land corner shall be indexed by the registerofdeedsineachcountyonatownshipindexsheetprovidedbytheboard. Countiesusing microfilm or similar devices for storage of documents shall return the original to the land surveyor unless notified otherwise; and

(3) Survey corner markers. All corner markers including points of curvature set by licensed land surveyors, whether they are retracement surveys, aliquot boundaries, or the subdivision of lands, must either be constructed of ferrous metal or must contain sufficient magnetic material so that the markers can be found with metal detecting devices. All corner markerssetbylicensedlandsurveyorsmusthaveaffixedtothetopofthecornermarkeradevice commonly known as a survey cap which clearly shows the registration number of the surveyor.

<u>20:38:37:06</u>.Services on exempt projects or for exempt entities. Any licensed professional architect, engineer, landscape architect, or land surveyor in South Dakota performing services on an exempt project or for an exempt governmental entity, pursuant to SDCL 36-18A-9, shall, in the performance of such professional services, comply with all applicable provisions of state law and administrative rules in the performance of such services. The professional standard of care for a licensee, and the mandatory compliance with statestatutesandadministrativeregulations, are not reduced, modified, or less end when the project is exempt.

<u>20:38:37:07</u>.Petroleumreleasesamples.Apetroleumreleaseassessororremediator shall gather assessment samples to determine if there is petroleum release and shall direct soil borings and determine where to collect samples for analytical data.

#### **CHAPTER 20:38:38**

#### FIREPROTECTIONSYSTEMS

Section

20:38:38:01	Automaticfiresprinklerandfirealarmsystems
20:38:38:02	Shop drawings for fire sprinkler systems.
20:38:38:03	Qualifiedfiresprinklersystemtechnician.
20:38:38:04	Shop drawings for fire alarm system.
20:38:38:05	Qualified fire alarm system technician.
20:38:38:06	Exemptprojectsandroutinemaintenance.

<u>20:38:38:01</u>. Automaticfiresprinklerandfirealarmsystems. If firesprinkleror fire alarm shop drawings are to be used to finalize engineering concepts, a licensed professional engineer shall provide and be responsible for the design concept and specifications, which must be adequate for shop drawing preparation by others. The licensed professional engineer shall review the shop drawings of the system prior to its permit review and installation. In addition, thelicensed professional engineer shall provide are viewstamp or letterofreview <del>a</del>ttached to the drawings.

After installation of the fire sprinkler system and fire alarm system, the licensee shall furnish a written letter stating the system has been installed in accordance with the shopdrawings and tested in accordance with the manufacturer's specifications and the appropriate NationalFireProtectionAssociationrequirements.Thisacceptancelettershallbemadeavailable to appropriate jurisdictional authorities and interested parties.

<u>20:38:38:02</u>.Shopdrawingsforfiresprinklersystem. Aproperlyqualifiedtechnician or licensee shall execute the design concept and prepare shop drawings for a fire sprinkler systems.

<u>20:38:38:03</u>.Qualifiedfiresprinkler systemstechnician.Aqualifiedfiresprinkler systems technician is a person who has at least one of the following qualifications

(1) ANationalInstituteforCertificationinEngineeringTechnologies(NICET)levelIII technician certifications in the subfield of fire sprinkler systems;

- (2) ANICETlevel IVtechnician certificationinthesubfield offiresprinklersystems; or
- (3) 20yearsofexperienceinthefieldoffiresprinklersystemlayout.

<u>20:38:38:04</u>.Shopdrawingsforfirealarm systems.Aproperlyqualifiedtechnician or licensee shall execute the design concept and prepare shop drawings for any fire alarm systems.

<u>20:38:38:05</u>. Qualified fire alarm systems technician. A qualified fire alarm system technicianisapersonwhohasatleastoneofthefollowingqualificationsinfirealarmsystems:

(1) ANationalInstituteforCertificationinEngineeringTechnologies(NICET)levelIII technician certifications in the subfield of fire alarm systems;

- (2) ANICETlevelIVtechnician certificationin thesubfieldoffirealarm systems; or
- (3) 20yearsofexperience inthefieldoffirealarmsystems layout.

<u>20:38:38:06</u>.Exemptprojectsandroutinemaintenance. Alicenseemaynotbe required to provide design concepts or an acceptance letter of review for:

- (1) Projectsexemptfrombuildingcoderequirements forfireprotection;or
- (2) Routine maintenance, when accomplished in accordance with National Fire ProtectionAssociation(NFPA)standard#25,"Inspection,Testing,andMaintenance of Water-Based Fire Protection Systems".

## **CHAPTER 20:38:39**

## DISCIPLINARYPROCEEDINGS

#### Section

20:38:39:01	Complaints.
20:38:39:02	Complaintprocedure.
20:38:39:03	Informaldispositionofcomplaints.
20:38:39:04	Formal proceedings.
20:38:39:05	Contentsofaformalboardcomplaint.
20:38:39:06	Answer to formal board complaint.
20:38:39:07	Disqualification.
20:38:39:08	Procedureforformalhearing.
20:38:39:09	Final action by board.
20:38:39:10	Petitionforhearingbyanaggrievedperson.
20:38:39:11	Petition for declaratory ruling.
20:38:39:12	Boardactiononpetition.
20:38:39:13	Adverse ruling.
	-

**20:38:39:01**.Complaints.Any person, board member or the executive director of the board, claiming that a licensee or an applicant for licensure under SDCL chapter 36-18A has engagedinorisengagedinconductconstitutinggroundsfordisciplinaryactionasenumeratedin SDCL chapter 36-18A or chapter 20:38:36 may file with the board a written complaint. The board may require the complaining party to file a complaint verified on oath stating the name of the applicant or licensee against whom the complaint is made and setting out full details of the conduct which is alleged to be a violation.Failure to file a written complaint, verified under oath, in the form satisfactory to the board, is a basis to dismiss the complaint. On receipt of a complaint deemed to be in proper form by the board or the executive director, the complaint

shallbeservedbymailupontheapplicantorlicenseecomplainedagainstanduponanyother affected parties, together with a copy of chapter 20:38:36.

The applicant or licensee complained against shall respond to the complaint within 20 calendar days after service of the complaint on theapplicant or licensee. The response of the applicant or licensee shall be sent to the executive director of the board at the board's office. Upon receipt of the response of the applicant or licensee, or upon expiration of the time for the applicant or licensee complained against to respond, the chair of the board, consideringonly the complaint and any response, shall appoint one member of the board who, along with the executive director and such other individuals as may be appointed by the chair of the board, to actasaninvestigativecommitteetodetermineifthecomplainthasmeritandconstitutesgrounds for disciplinary action, or lacks merit and should be dismissed.

**20:38:39:02.**Complaint procedure. Upon completion of the investigation, the investigating committee shall recommend to the board whether the charges should be dismissed for lack of merit or whether based upon the investigation of the committee there is sufficient basis to proceed with either a formal hearing or other disposition. The failure of a licensee to comply with the investigation request administered by the board may result in disciplinary action. The board may also petition the court of the county in which the individual licensee resides, and the court may deem necessary.

### 20:38:39:03.Informaldispositionofcomplaints.

The boardmay accept an assurance of voluntary compliance or a consent orderregarding aviolation of SDCL chapter 36-18 A or chapter 20:38:36. The assurance or consent order shall be in writing and is subject to the approval of the board. The assurance or consent order may include a statement that the individual will not engage in such act or practice in the future and one of the following:

 $(1) \ \ Stipulation for voluntary payment of any fine or cost, or both, of the investigation; and$ 

(2) Stipulation for the voluntary payment necessary to restore to any person money or property which may have been acquired by the alleged violator.

The assurance of voluntary compliance may not be considered an admission to a violation for any purpose. The consent of the licensee to a consent order shall constitute an admission of a violation for any purpose. Proof of the failure to comply with an assurance of voluntarycomplianceoraconsentordershallentitletheboardtoinstituteorreinstituteformal proceedings.

The board shall notify in writing any complaining party, the applicant or licensee complained against, and any other affected parties of the results of the informal disposition of a complaint is a public record.

<u>20:38:39:04</u>.Formalproceedings.Ifanallegedviolationhasmeritconstitutinggrounds for disciplinary action, the board may commence formal proceedings. Formal proceedings shall be instituted by a formal board complaint and service of a notice of hearing by mail upon the applicant or licensee complained against.

<u>20:38:39:05</u>. Contentsofaformalboardcomplaint. Theformalboardcomplaintshall include the name of the applicant or licensee complained against, a statement setting forth the nature of the violations being charged that constitute grounds for disciplinary action.

<u>20:38:39:06</u>. Answer to formalboard complaint. The applicant or licensee shall file ananswerwithin20calendardaysafterserviceofthecomplaintadmitting,denying,qualifying, or explaining all facts alleged in the formal complaint and all defenses of the applicant or licenseeor mitigatingfactors. Thelicenseesshall filetheoriginal upontheexecutivedirectorof the board and a copy by mail to the board counsel.

**20:38:39:07**.**Disqualification**.Ifanallegedviolationagainstanapplicantorlicenseeis filed by aboardmember, or if aboardmember participates in the investigation of a violation by an applicant or licensee, that board member is disqualified from sitting at the hearing as a board member and from participating in the decision rendered by the board.

<u>20:38:39:08</u>.**Procedureforformalhearing.** Thefollowingprocedureshallbeusedby the board in conducting formal hearings:

(1) Theboardshallprovidewrittennoticetotheapplicantorlicenseebymailstatingthe time, place, and date of the formal hearing. The notice shall require the attendance of the applicantor licenseeatthehearing.Thenoticeshallbegivenatleasttendayspriortotheformal hearing;

(2) Atranscript shallbekeptofall formalhearings and proceedings;

(3) Theboardchairmanmayconducttheformalproceedingortheboardmayhavea hearing examiner conduct the proceedings in part or in full;

(4) The applicant or licensee appearing beforetheboard at aformalhearing shall appear inperson,unlessotherwisewaivedbytheboard.Theapplicantorlicensee,andhislegalcounsel, may be present during the giving of all evidence,may have reasonable opportunity to inspect all documentary evidence, may examine and cross-examine witnesses, may present evidence in support of theparty's interest, and may have subpoen as issued to competate ndance of witnesses and production of evidence on the party's behalf.

<u>20:38:39:09</u>.Finalactionbyboard. After aformalhearing,theboardmaydismissthe formal complaint or issue an order seeking any disciplinary remedy enumerated in SDCL <u>36-18A-57</u> and 36-18A-67.The board's decision shall be made and entered with notice of the decision given in accordance with the provisions of SDCL 1-26-23 to 1-26-25, inclusive.

<u>20:38:39:10</u>.Petition for hearing by an aggrieved person. In a contested case, as defined in SDCL 1-26-1(2), including disciplinaryproceedings, a person aggrieved by an action of the board taken without a hearing may, within 30 days following the date of the board action, petitiontheboardfor ahearing. Thehearingshallbeheldattheearliestconvenienceof theboard following receipt of the petition. Twenty days before the date set by the board for hearing, the boardshallservebymailuponthepetitionerandotherinterestedoraffectedpartiesacopyofthe notice of hearing and a copy of the aggrieved person's petition.

<u>20:38:39:11</u>.Petition for declaratory ruling. A person seeking a ruling as to the applicability to that person of a statutory provision or rule or of the board may file with the board a Petition for Declaratory Ruling in substantially the following form:

Pursuant to the provisions of SDCL 1-26-15, I, (name of petitioner), of (address of petitioner),am(titleorcapacityofpetitioner),anddoherebypetitiontheBoardofTechnical Professions for its declaratory ruling in regard to the following:

(1) Thestatuteorruleororderinquestionis:(hereidentifyandquotethe pertinent statute, rule, or order.)

(2) Thefactsandcircumstancesthatgiverisetotheissuetobeansweredbytheboard's declaratory ruling:

(3) The precise issue to be answered by theboard's declaratory ruling: Dated at (city andstate), this \_\_\_\_\_day of \_\_\_\_\_, \_\_\_

Signature of Petitioner

**20:38:39:12**.**Board action on petition.** Upon receipt of the petition, the board may request from the petitioner any information that may be required for the issuance of its ruling. Within 30 days following the receipt of the petition, or within 30 days following receipt of

requested information, the board shall is sue its declaratory ruling and serve a copy of it by mail upon the petitioner.

**20:38:39:13**. Adverse ruling. Any person seeking a declaratory ruling hereunder, is deemed to be aggrieved, in an issue that constitutes a contested case as defined in SDCL 1-26-1(2) made within 30 days of the board's declaratory ruling requests the board for a formal hearing, which hearing shall be held at the earliest convenience of the board following the receipt of the request.